

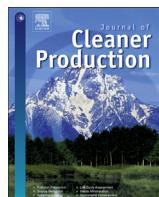


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Review

Mapping of water footprint research: A bibliometric analysis during 2006–2015



Yue Zhang ^a, Kai Huang ^{a,*}, Yajuan Yu ^b, Beibei Yang ^a

^a College of Environmental Science and Engineering, Beijing Forestry University, Beijing 100083, China

^b Beijing Key Laboratory of Environmental Science and Engineering, School of Materials Science & Engineering, Beijing Institute of Technology, Beijing 100081, China

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ABSTRACT

A bibliometric analysis of the research in the field of Water Footprint (WF) during 2006–2015 was presented with the information related to countries, institutions, journals, categories, top cited publications, keywords, hot issues and research trends. Researches on WF have increased sharply over the past decade. The United States (24.1%), China (19.2%) and Netherlands (16.0%) had high productivity in total publications. Based on co-word and social network analysis (SNA), the USA-China has been most closely correlated in the cooperative web system. University of Twente took the leading position of institutions in total articles and also conducted the most independent, collaborative, first authored, and corresponding authored articles. *Journal of Cleaner Production*, *Environmental Science & Technology* and *Ecological Indicators* are the top three journals with the most publications in this field. A summary of the most frequently used keywords obtained from title, author keywords and keywords plus analysis provided the clues to discover the current research emphases. The mainstream research related to WF was its accounting methodologies and application in water resource management. Water-food-energy nexus, driving mechanism of WF variation, environmental impact of water use and integration of footprint indicators strongly promoted the development of WF research and are getting popular in recent years. Findings provide a better understanding of characteristics of WF research which serves as a useful reference for future studies.

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* Corresponding author.

E-mail address: huangkmail@gmail.com (K. Huang).

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1. Introduction

Population growth and rapid economic development place a heavy burden on water resources through growing demand for agricultural products, industrial goods and household consumption (Launiainen et al., 2014). As water is an increasingly scarce resource, effective sustainability indicators have become a cause for concern in water resource management and governance. Water footprint (WF) was proposed as an indicator to evaluate water resources utilization relative to human consumption (Hoekstra and Hung, 2002). From the perspective of water consumption and pollution, WF evaluation is becoming one of the priorities for water sustainability (Čucek et al., 2015) and provides decision-making support for water resources management (Hoekstra and Chapagain, 2007).

WF analysis can be mainly applied for a particular product (or goods and services), for any well-defined group of consumers (individual/region/basin/district/nation/globe, etc.) or producers (public organization/private enterprise/industrial sector, etc.) (Chapagain and Hoekstra, 2008; Galli et al., 2012; Hoekstra and Chapagain, 2007). WF of a nation is defined as the volume of water required for the production of the goods and services consumed by the inhabitants of the country (Hoekstra and Chapagain, 2007). WF of a product is regarded as the volume of freshwater used to produce the certain product, measured at the place where the product was virtually produced (Hoekstra and Aldaya, 2010). It refers to the total volume of direct and indirect fresh water used, consumed, and/or polluted. WF usually consists of green WF (rainwater that does not run-off or recharge the groundwater), blue WF (irrigation water withdrawn from ground- or surface water) and grey WF (the volume of freshwater that is required to assimilate the load of pollutants) (Chapagain and Hoekstra, 2011). The bottom-up and top-down approaches are two principal methods applied to WF accounting (Fang et al., 2014; Hoekstra, 2009). The international WF standards are simultaneously in the process of being developed by the Water Footprint Network (WFN) (Hoekstra et al., 2011) and the International Organization for Standardization (ISO 14046) (Fang et al., 2014).

The last decade witnessed a rapid development of the WF research due to the growing public awareness of water conservation and a widespread acceptance of sustainable utilization. The purpose of this study is to investigate the characteristics and implications of the WF research from 2006 to 2015 by using bibliometric technique. A general profile of authorships, mainstream journals, Web of Science categories, leading countries and institutions was recognized by the bibliographic statistics. A summary of the most frequently used keywords obtained from title, author keywords and keywords plus analysis provided the clues to discover the current research emphases. Findings will provide a better understanding of characteristics of WF research which serves as a useful reference for future studies.

2. Methodology

2.1. Data description

For bibliometric analysis, the Science Citation Index Expanded (SCI-Expanded) database of the Web of Science from Thomson

Reuters has been widely adopted due to its comprehensiveness and high-quality records (Gao and Guo, 2014). According to the Journal Citation Report (JCR), it indexes 8659 journals across 176 Web of Science subject categories in 2014. "Water footprint" (or "virtual water" AND footprint) was used to search titles, abstracts and keywords (author keywords and keywords plus) of the documents published between 2006 and 2015 in the database of the SCI-Expanded. Although WF concept was proposed in 2002, few publications were found during 2002–2006, so we selected 2006–2015 as the study period. Altogether, 636 original publications were downloaded in Web of Science format for further analysis. The downloaded information included authors, title, publication year, page numbers, contact address, author keywords, keywords plus, countries/territories, institutions, journals, citations, Web of Science categories etc. Publications originating from England, Scotland, Wales and Northern Ireland were reclassified as from the United Kingdom (UK). The term "single country/institution publication" was assigned if the researchers' addresses were from the same country/institutions. And the term "international/inter-institutionally collaborative publication" was assigned if the authors were from multiple countries/institutions (Wang et al., 2014).

2.2. Methods

Performance analysis and science mapping are two main procedures in a bibliometric analysis (Noyons et al., 1999). Performance analysis was conducted in this paper to evaluate the characteristics of publication outputs, such as authors, journals, countries and citations, resulting in hotspots or variation trends of WF research. Science mapping was employed in this paper to display the structural aspects in the field of WF research. Co-word analysis, as one of the most commonly used methods in developing a science map (Hou et al., 2015), was used to evaluate the interaction of countries/territories and institutions in this study. Social network analysis (SNA) was employed in this study to quantitatively assess the collaborative relationship among the top 30 productive countries/territories and institutions.

The analysis was conducted using BibExcel 1.0.0.0, Pajek 1.0.0.1 and Office Excel 2010. BibExcel is a free bibliometric toolbox developed by Olle Persson (Persson et al., 2009), which is particularly useful for most types of bibliometric analysis, including frequency analysis, citation analysis, co-occurrence analysis, etc., and allows easy interaction with Pajek and Office Excel. Pajek was adopted as a tool to assist making cooperation networks of productive countries/territories and institutions in this study.

3. Results and discussion

3.1. Document types and records

As a result, 636 records were obtained from 2006 to 2015. The publications were downloaded in the bibliographic style of Web of Science with information in title, authors, country, language, journal, subjects, citations, keywords, references etc. These 636 records related to WF from the databases over the past decade were categorized into 8 types. Among them, articles (562) accounted for

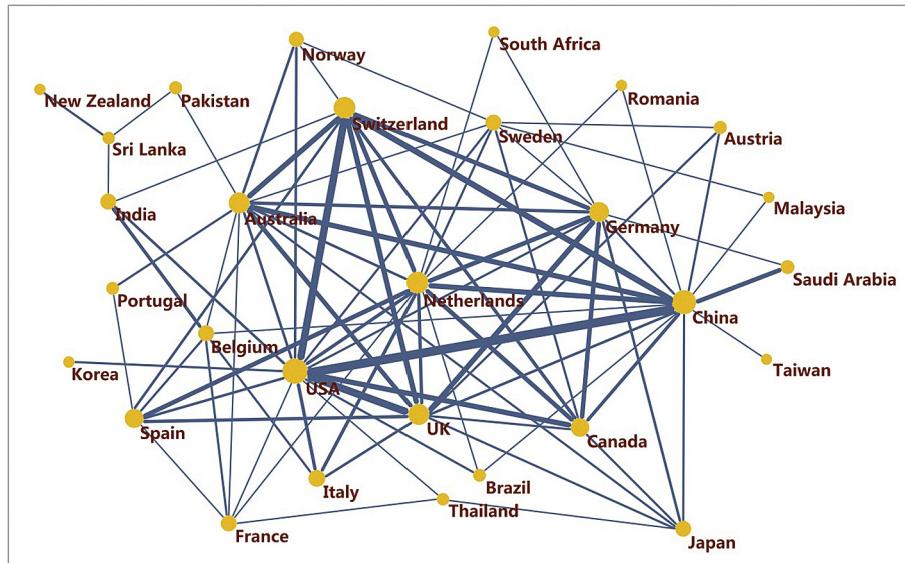


Fig. 1. The cooperation network of the top 30 productive countries/territories.

Table 3

Most productive institutions in research in the field of WF during 2006–2015.

Institution	TP (%)	SP R (%)	CP R (%)	FPR (%)	RPR (%)
Univ Twente, Netherlands	70 (11.1)	1 (6.2)	1 (4.9)	1 (8.1)	1 (7.9)
Chinese Acad Sci, China	32 (5.1)	5 (0.6)	2 (4.4)	2 (2.8)	2 (3.5)
CSIRO, Australia	29 (4.6)	5 (0.6)	3 (4.0)	6 (1.9)	4 (2.1)
Univ Calif, USA	25 (4.0)	4 (0.8)	4 (3.2)	3 (2.5)	4 (2.1)
Northwest A&F Univ, China	21 (3.3)	5 (0.6)	5 (2.7)	3 (2.5)	6 (1.7)
Beijing Normal Univ, China	20 (3.2)	2 (1.4)	7 (1.7)	5 (2.4)	3 (2.4)
ETH, Switzerland	16 (2.5)	10 (0.5)	6 (2.1)	14 (0.8)	19 (0.8)
Beijing Forestry Univ, China	12 (1.9)	38 (0.2)	7 (1.7)	7 (1.4)	7 (1.4)
Peking Univ, China	10 (1.6)	18 (0.3)	10 (1.3)	8 (1.1)	12 (1.1)
European Commiss, Joint Res Ctr, Italy	9 (1.4)	5 (0.6)	32 (0.8)	8 (1.1)	12 (1.1)
Wageningen Univ, Netherlands	9 (1.4)	18 (0.3)	13 (1.1)	18 (0.6)	8 (1.2)
Univ Zaragoza, Spain	8 (1.2)	5 (0.6)	48 (0.6)	14 (0.8)	8 (1.2)
Arizona State Univ, USA	8 (1.2)	10 (0.5)	33 (0.8)	14 (0.8)	15 (0.9)
Univ Virginia, USA	8 (1.2)	10 (0.5)	33 (0.8)	18 (0.6)	8 (1.2)
Univ Utrecht, Netherlands	8 (1.2)	10 (0.5)	33 (0.8)	29 (0.5)	19 (0.8)
Tech Univ Berlin, Germany	8 (1.2)	38 (0.2)	13 (1.1)	18 (0.6)	8 (1.2)
Purdue Univ, USA	8 (1.2)	38 (0.2)	13 (1.1)	18 (0.6)	12 (1.1)
WWF, UK	8 (1.2)	38 (0.2)	13 (1.1)	18 (0.6)	15 (0.9)
Norwegian Univ Sci & Technol, Norway	8 (1.2)	38 (0.2)	13 (1.1)	29 (0.5)	8 (1.2)

TP: Total publications; SPR: Single institute publication rank; CPR: Inter-institutionally collaborative publication rank; FPR: First author publication rank; RPR: Corresponding author publication rank.

range of 38 subject categories. Among these subjects, 25 (65.8%) subjects contained less than 10 publications. Fig. 3 shows the top 12 productive subjects, accounting for approximately 96.3% of the publications. The top three subjects in terms of the number of publications are Environmental Sciences & Ecology (347, 30.6%), Engineering (245, 21.6%) and Water Resources (139, 12.3%). Additionally, Fig. 3 also depicts the annual publications on the 12 most frequent subject categories in WF research. The number of publications in the subject categories of Environmental Sciences & Ecology and Engineering soared rapidly since approximately 2010. And the number of publications in the subject categories of Water Resources and Agriculture also grew obviously since roughly 2008. The three most productive categories have been taking the lead, and it seems unlikely to be exceeded by other categories in the foreseeable future, which mainly take focus on the water resources management and environmental or ecological sustainable development.

Table 4 shows the distributions of output in key journals on WF

research from 2006 to 2015. The total of 632 records were published in 193 journals. *Journal of Cleaner Production* (58, 9.2%), *Environmental Science & Technology* (32, 5.1%) and *Ecological Indicators* (28, 4.4%) are the top three journals with the most publications of research on WF, which account for only 18.7% of all the publications. The percentage of the top three productive journals was not high, which indicates the breadth of article distribution as well as the broad interest in WF from various research perspectives. The impact factor (IF) in 2015 of each journal was shown in Table 4, whereas it cannot be said that the journals are very influential on this topic based on journal IF. Therefore, top cited publications in WF research were discovered as follows.

3.5. Top cited publications in the field of WF research

Table 5 lists the top cited publications in the field of WF research with additional information: authors, journal's title, the total citations, and the country/institutions of origin during 2006–2015. As

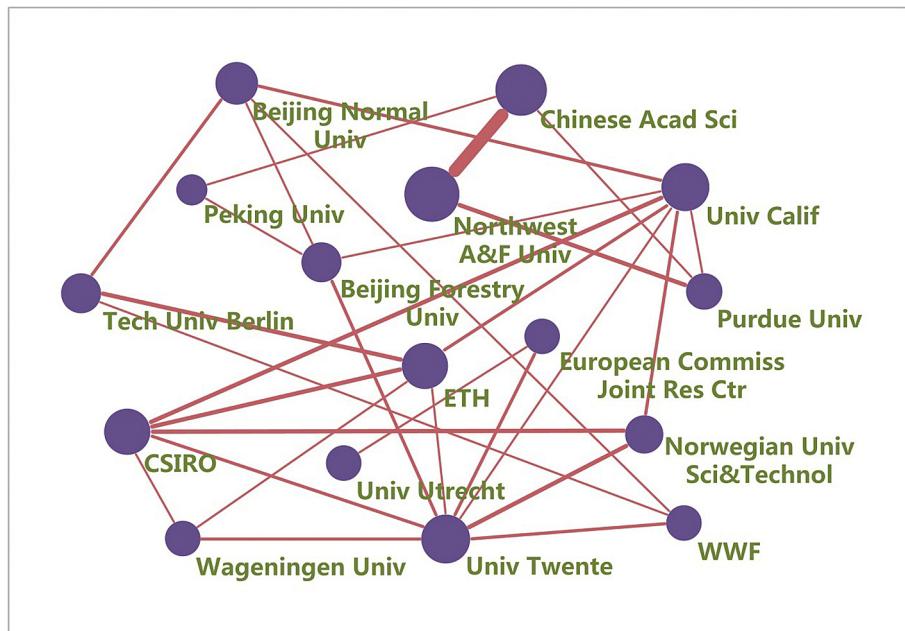


Fig. 2. The cooperation network of the top 20 productive institutions.

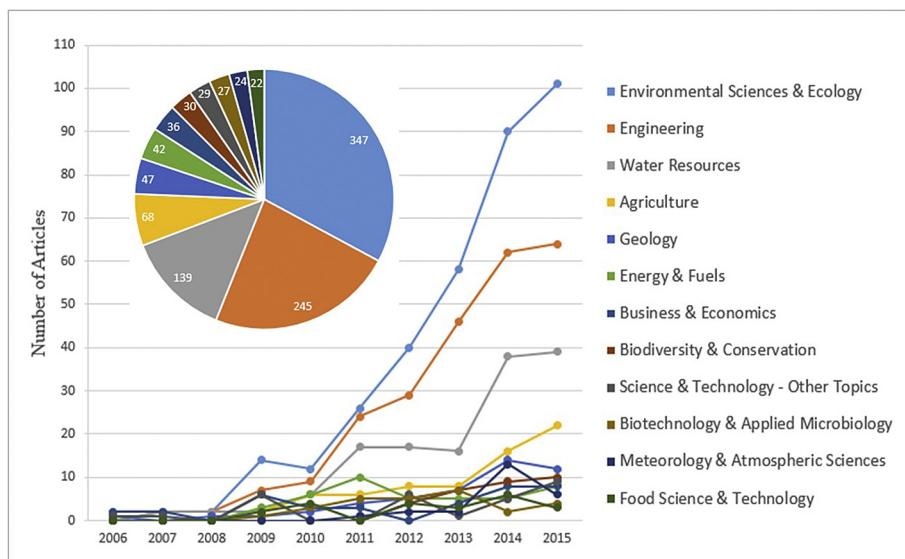


Fig. 3. Publications of the top 12 productive Web of Science categories during 2006–2015.

Table 4
Distributions of output in key journals from 2006 to 2015.

Journal	TP	R (%)	IF 2015
Journal of Cleaner Production	58	1 (9.2)	4.959
Environmental Science & Technology	32	2 (5.1)	5.393
Ecological Indicators	28	3 (4.4)	3.190
Ecological Economics	27	4 (4.3)	3.227
Water Resources Management	27	4 (4.3)	2.437
Sustainability	21	6 (3.3)	1.343
International Journal of Life Cycle Assessment	19	7 (3.0)	3.324
Science of the Total Environment	18	8 (2.8)	3.976
Hydrology and Earth System Sciences	17	9 (2.7)	3.990
Environmental Research Letters	16	10 (2.5)	4.134

TP: Total publications; R(%): Rank and the percentage of total publications; IF: Impact factor (2015).

is shown in Table 5, the most highly cited article is entitled “Water footprints of nations: Water use by people as a function of their consumption pattern”, authored by Hoekstra and Chapagain, and published in *Water Resources Management* in 2007, with totally 395 citations. Based on a calculation of the WF for each nation of the world, their study found out four major direct factors that determine the WF of a country, including volume of consumption, consumption pattern, climate and agricultural practice (Hoekstra and Chapagain, 2007). A large number of further researches cited its data or results to discover the impacts of these four factors on WF in temporal and spatial scales, which have become the main topics in WF research over the past decade.

“The water footprint of humanity (2012)” and “The water footprint of bioenergy (2009)” published by *Proceedings of the National Academy of Sciences of the United States of America* ranked as the

insight into the water/food/energy security and the water consumption of energy/food production on different temporal and spatial scales (Spang et al., 2014).

3.6.2.2. Driving mechanism of WF variation. Exploring the driving mechanism of WF variation is a significant step to facilitate the future water policies and sustainable economic development. 1) Climate change. Given the uncertainty of climate expectations and natural hazards, the anticipated impact of climate change on WF cannot be omitted. Climate change projections in WF studies is of great significance to adapt integrated water resources management and sustainable water uses (Bocchiola et al., 2013; Zhang et al., 2015). 2) Human activities. Previous studies have attempted to uncover the underlying causal drivers of WF changes by placing emphasis on both productive process and consumptive patterns. Future studies are worthy to be carried out to investigate the comprehensive effects caused by virtual water trade, water-saving techniques and socioeconomic development, etc. (Sun et al., 2013; Wang et al., 2013).

3.6.2.3. Environmental impacts of water use. In an attempt to provide WF methods with an improved competence to quantify environmental impacts, studies have been done proposing various ways to integrate WF with LCA methodologies (Berger and Finkbeiner, 2013; Mila i Canals et al., 2009; Chenoweth et al., 2014). The LCA has demonstrated its advantage by standardizing the quantification of environmental impacts on water resources and evaluating the supply chains to account for the full life of individual products and commodities in the systems concerned (Paterson et al., 2015). Concerning both consumptive and degradative water use, hybrid approaches combining the WSI index and impact assessment models (e.g. Eco-indicator-99 LCIA method (Pfister et al., 2009), ReCiPe impact assessment methodology (Ridoutt and Pfister, 2013), etc.) have incorporated best practice in life cycle impact assessment (LCIA). Promising methodological development can enable accurate accounting and impact assessment of water utilization in the future (Berger and Finkbeiner, 2010).

3.6.2.4. Integration of footprint indicators. With the aim to establish an evaluation index system of environmental impacts of production and consumption, some researchers have begun to consider integrating WF and other footprint indicators. Footprint Family is this kind of comprehensive indicators. Footprint Family has a wide range of research and policy applications as it could be adopted at scales ranging from a single production, a process, up to individuals, cities, nations, and the whole world (Galli et al., 2012). According to the definition of Footprint Family, the ecological, energy, carbon and water footprints have been employed as selected indicators. A deeper understanding of the connections and interactions between these different footprints is required to develop a multi-criteria decision making process and provide environmental impact mitigation strategies (Cućek et al., 2015; Fang et al., 2014). Representing the environmental consequences of human activities, the ecological, energy, carbon and water footprints need to be regarded as complementary in the sustainability debate (Fang et al., 2013; Galli et al., 2012) and it will become a new trend in footprint research.

4. Conclusion

An overview of the research on WF was presented with the information related to countries, institutions, journals, categories, top cited publications, keywords, hot issues and research trends. Researches on WF have increased sharply over the past decade. The

United States (24.1%), China (19.2%) and Netherlands (16.0%) had high productivity in total publications. Based on co-word analysis and SNA, the USA-China has been most closely correlated in the cooperative web system. University of Twente took the leading position of institutions in total articles and also published the most independent, collaborative, first authored, and corresponding authored articles. More than half of the studies in the subject categories of Environmental Sciences & Ecology, Engineering and Water Resources have been taken to explore the water resources management and environmental or ecological sustainable development. *Journal of Cleaner Production*, *Environmental Science & Technology* and *Ecological Indicators* are the top three journals with the most publications of research on WF. Keywords analysis has been proved to be an effective approach for discovering hotspots and research trends. It reveals that previous studies were mainly focused on WF accounting methodologies and its application in water resource management. Water-food-energy nexus, driving mechanism of WF variation, environmental impact of water use and integration of footprint indicators strongly promoted the development of WF research and are getting popular in recent years.

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