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## Biological pretreatments of biomass for improving biogas production: an overview from lab scale to full-scale



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#### ABSTRACT

Recent shifts in European countries biogas policies tend to limit the use of energy crops and encourage the use of manure, lignocellulosic feedstocks and bio-waste. The need to use feedstocks that are more difficult to handle (displaying either too low or too high biodegradation rates) is calling for the development of adapted pretreatments. Among them, biological pretreatments are very promising due to their reasonable cost, environmental friendliness and possible application to a wide spectrum of feedstocks. They can be divided into three categories: enzymatic, anaerobic and aerobic ones. This review aims at providing some guidelines on which type of biological pretreatment to apply for a given feedstock. To deliver such recommendations we considered the full range of technological readiness level. We gathered an analysis of the recent literature data obtained at lab or pilot scale focusing on methane yield enhancements and the description of some full-scale commercialized technologies. For lignocellulosic feedstocks, both enzymatic pretreatments using lignin-modifying enzymes or carbohydrases and aerobic pretreatments using consortia or simple aeration appear as promising. For bio-waste, anaerobic pretreatment via two-stage digestion seems to be an efficient biological pretreatment. For landfill, enzymatic treatment may be an interesting solution. Finally, for sludge digestion, both aerobic and anaerobic pretreatments favouring autohydrolysis may be recommended. Full-scale applications already exist but their use remains scarce. Indeed, each biological pretreatment features technological issues. Enzymes have high production costs and limited activity in time. Anaerobic pretreatments, notably two-stage digestion, are more expensive and complex to handle than a single stage. Finally, aerobic pretreatments need fine tuning and control due to respiration mass loss. Research and development conducted toward these specific issues may allow these pretreatments to become more cost-effective as well as practical and thus facilitate their development at fullscale.

#### 1. Introduction

In Europe, France and the United Kingdom have a similar strategy for their biogas industry: a progressive development based both on codigestion of a wide range of substrates and on a limited use of energy crops [1]. Similarly, Germany has shifted, since 2012, from an intense use of energy crops toward a more diverse use of substrates. This new

state of affairs in Germany, the biogas European leader, emerged from the quick growth between 2000 and 2012 of an industry that was technologically based on a "standard" liquid CSTR plant using energy crops (especially maize) coupled with cattle liquid manure that permits to ensure high and resilient biogas production [2]. However, this strong appetite for energy crops led to agricultural distortions such as the increase of land rental prices, the increase of energy crops prices (also

Abbreviations: AD, Anaerobic Digestion; ADT, Advanced Digestion Technology; BMP, Bio-Methane Potential; COD, Chemical Oxygen Demand; CSTR, Continually Stirred Tank Reactor; DM, Dry Matter; DSM, Dutch State Mine; EPS, Extracellular Polymeric Substance; FW, Food Waste; GE, General Electric; HRT, Hydraulic Retention Time; HTP, HydroThermal Pretreatment; IPF, Inverted Phase Fermentation; LCFA, Long Chain Fatty Acid; LFD, Liquid Fraction of Digestate; LiP, Lignin Peroxidase; MCHCA, Microbial Consortium with High Cellulolytic Activity; MnP, Manganese Peroxidase; MSW, Municipal Solid Waste; OFMSW, Organic Fraction of Municipal Waste; OLR, Organic Loading Rate; RPM, Revolution Per Minute; RURAD, RUmen Derived Anaerobic Digestion; s-COD, soluble Chemical Oxygen Demand; SRT, Solids Retention Time; SS-AD, Solid State Anaerobic Digestion; TAD-MAD, Thermophilic Aerobic Digestion coupled with a Mesophilic Anaerobic Digestion; TMP, Thermophilic Microaerobic Pretreatment; TPAD, Temperature Phased Anaerobic Digestion; TRL, Technological Readiness Level; UASB, Up-flow Anaerobic Sludge Blanket; VFA, Volatile Fatty Acid; VP, Versatile Peroxidase; VS, Volatile Solids; WAS, Waste Activated Sludge; WRF, White Rot Fungi; WWTP, Waste Water Treatment Plant

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used for cattle feeding) and therefore the difficulty for smaller farms or biogas exploitations to thrive [3]. Furthermore, "maizification" (wide spreading of maize monoculture) diminished both beneficial environmental impact of biogas production and public acceptance of the technology [4]. Thus, nowadays in Europe, to handle the higher diversity of substrates required by biogas policies, biogas plant model is shifting from a "standard" to a more versatile conception. Pretreatments are identified as a key tool that requires more investigation [5,7]

From a given organic substrate and in absence of oxygen, anaerobic digestion (AD) is a natural occurring phenomenon. AD can be divided in four different steps happening in the following order: hydrolysis, acidogenesis, acetogenesis and methanogenesis. Depending of the substrate composition and its structure, hydrolysis or methanogenesis can be considered as limiting steps. In the case of complex organic substrates, the hydrolysis step is often the limiting step. Indeed, the production rate, the amount and the variety of hydrolytic enzymes released by hydrolytic microorganisms are often not sufficient to adequately degrade a given substrate [8]. On the other hand, in the case of easily degradable organic substrates, a quick and important acidogenesis step can lead to a rapid acidification of the environment, inhibiting the pH sensitive methanogenesis step [9]. Therefore, pretreatments are needed to handle and reduce substrate limitations toward their optimal use in AD.

At lab scale, evaluation of pretreatments on substrates is mainly carried out using bio-methane potential (BMP) tests. It is a batch method that permits to obtain, from a given substrate, its maximal biogas yield by using a high ratio of substrate to inoculum, a diluted environment and a long incubation time. Curves of BMP tests are usually modelled by using first order kinetic relations but it cannot be used to evaluate kinetics of continuous reactors except if more sophisticated modelling procedure is used [10]. Indeed, at pilot or full-scale the conditions are totally changed. Continuous operation, lower dilution and lower ratio of substrate to inoculum are the main differences. Concerning biogas yield in continuous systems, despite a better adaptation of the inoculum to substrate and the fact that endogenous methane production is included, it is likely that biogas yield will be lower than results of BMP tests due to a lower degradation time. This point concerns both raw and pretreated feedstocks. For pretreatment assessments, BMP tests can also be used to compare kinetic of degradation between raw and pretreated feedstocks via curve shapes [11]. This additional information can be used to forecast pretreatment effect on AD in a continuous system, which takes into account both impacts on methane yield and degradation rate. For low hydraulic retention time, continuous system enhancements on AD rate may prevail over biogas yield enhancements. From that, it can be considered that the most promising BMP results for pretreatments are the one displaying both a positive effect on degradation rate and biogas yield. Ideally, to clearly evaluate the interest of a pretreatment, both BMP and continuous reactor results have to be taken into account.

Four different types of pretreatments can be distinguished: thermal, mechanical, chemical and biological. If the first two ones are already applied at full-scale for a variety of substrates (animal by-products, sludge and lignocellulosic biomass), their high demands in heat or electricity are restricting their benefits [12]. Concerning chemical pretreatments, they are for the moment limited at lab scale due to their cost and their environmental consequences even though some alkaline treatments (lime notably) displayed promising results, especially with lignocellulosic substrates and animal by-products [13]. Finally, like all other pretreatments, biological pretreatments are progressively gaining in interest over time as it can be seen in Web of Science® where "anaerobic digestion" and "biological pretreatment" as topics, display both an increasing scientific production over years and a stable 15% ratio of the total "pretreatment" literature from 2011 (Table 1). This can be due to their potential lower energy consumption and promising results, especially with lignocellulosic materials [12,14].

**Table 1**Web of Science® bibliometric study with the topics "biological pretreatment" or "pretreatment" and "anaerobic digestion" (January 2017).

Period	2009–2010	2011-2012	2013–2014	2015–2016
Number of papers about "biological pretreatment"	54	48	72	136
Number of papers about "pretreatment"	141	324	544	830
Ratio biological/all types of pretreatment	38%	15%	13%	16.5%

Prior to detail biological pretreatments, it is important to clearly distinguish them from another current research topic in AD, which is bioaugmentation [15]. This practice was recently defined as the direct addition of selected strain(s) or mixed cultures to AD to improve the catabolism of refractory compounds such as lignocellulosic materials and to increase the methane yield [16]. Despite the fact that bioaugmentation is targeting similar aims as pretreatments, it will not be addressed further in this review as it cannot be considered as a pretreatment but more as an improved inoculation. However, it can be interesting to combine bioaugmentation with pretreatments due to several advantages of this practice (e.g. environment-friendly, cost effective) [16] and it can be noticed that commercial solutions provided by several companies already exist, such as Bioplus by General Electric Water & Process Technologies (Boston, the USA) or Hycura products (Calgary, Canada) for instance.

Biological pretreatments can be divided in three parts: enzymatic, anaerobic and aerobic. The aim of this review is to give an overview of what is done in this field from lab scale to full-scale in function of the substrate. This review will concern agricultural waste, bio-waste that are gathering food waste and organic fraction of municipal solid waste, municipal solid waste and sewage sludge. Concerning animal by-products, as they generally require thermal pretreatment due to sanitary reasons, literature on the application of biological pretreatment is scarce. For this reason, this feedstock will not be included in this review. Promising and recent research results will be presented, with a special focus on methane yield enhancements. Furthermore, non-exhaustive examples of associated full-scale products sold by companies will be described in order to provide to the reader a first insight in existing industrial applications of such pretreatments.

#### 2. Enzymatic pretreatments

Exogenous enzyme additions during AD in order to improve the hydrolytic step of complex organic substrates have been investigated with a growing interest since the mid-1980s. From this literature, it is important to distinguish the four ways to practice enzyme addition as shown in Fig. 1: (1) in a dedicated pretreatment vessel (2) directly in the digester vessel (single-stage process) (3) directly in the hydrolysis and acidification vessel (two-stage process) (4) in the recirculated AD leachate. Besides, it is also worthy to underline that to obtain an increase in biogas production via enzyme addition, parameters such as enzyme activity, specificity to the substrate, quantity, temperature, pH and enzyme stability need to be optimized and are often keys parameters in the economic assessment [17]. This section will give an overview of enzymatic pretreatments with a special focus on results of available commercial solutions. It can be already mentioned that Dupont (Wilmington, USA), Novozymes (Bagsvaerd, Denmark) and DSM (Delft, the Netherlands) are the three key players in the enzyme market. Therefore, enzyme products that will be presented are mostly commercialized by these companies.

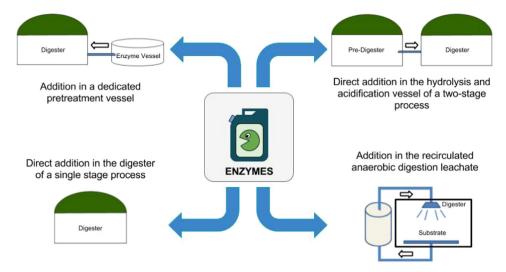


Fig. 1. The different ways to practice enzyme addition in order to enhance anaerobic digestion.

#### 2.1. Agricultural waste

Agricultural waste can be summarily separated between crop residues and livestock manure. The former are mainly made of cellulose, hemicelluloses, lignin and proteins in little amount, the latter is composed of lignocellulosic biomass (straw in some manure) and feces composed of proteins and undigested lignocellulosic rich biomass. Linked to this composition several types of enzymes have been used to pretreat agricultural waste obtaining various results (Table 2).

Proteases have been recently evaluated in studies working on codigestion of agricultural substrates where direct addition of proteases in the digester was applied. Muller et al. [18] by applying different proteases (alkaline, serine and aspartic types) to a mix of maize silage, chicken dung and cow manure in a 2 L BMP test obtained interesting results. Indeed, proteases increased methane yield between 9% and 52%. Following these results, similar experiments in semi-continuous 12 L digesters were carried out. After digesters stabilization, daily addition of enzymes did not lead to any increase in biogas yield. In addition, when the quantity of enzymes was increased tenfold, the biogas yield decreased between 13% and 36%. Here, the scale up from BMP to semi-continuous AD was not successful, demonstrating that promising BMP results are not ensuring higher scale results. Besides, negative results with BMP were also obtained by Wang et al. [19] where direct addition of a protease from Novozymes to a mix of cow manure and straw did not lead to any increase in biogas yield. Several reasons are given in these studies to explain these negative results regardless of the scale: (1) proteases degrade some essential enzymes such as hydrolases which are important in the hydrolytic phase or enzymes related to acidogenesis and methanogenesis; (2) they favour accumulation of intermediates such as phenyl acetate and propionate leading to inhibition of microorganisms; (3) proteases can attack microorganisms at their surfaces. Overall, it seems that positive effects are cancelled by negative effects of proteases addition in the case of agricultural residues. Therefore, proteases might be appropriate only for the pretreatment of protein-rich material.

Enzymes targeting lignin, such as laccases and peroxidases, have been recently explored as separated pretreatment before BMP tests of agricultural lignocellulose rich substrates. Frigon et al. [20] applied lignin and manganese peroxidases (LiP and MnP) to switch grass and obtained a significant increase in methane yield of 29% and 41%, respectively. Similarly, laccase pretreatment of corn stover by Schroyen et al. [21] showed a 17% increase in methane yield. However, more recent trials on various agricultural feedstocks with laccases and versatile peroxidases (VP) have shown that the higher the lignin concentration of the substrate, the lower the efficiency of this pretreatment

[22]. Indeed, large release of phenolic compounds from lignin degradation can strongly inhibit the anaerobic digestion as it can be seen for the miscanthus case. From these results, the use of LiP, MnP, VP and laccases seems to be promising for some types of lignocellulosic agricultural waste. However, at the moment, this kind of enzyme is only tested at lab scale and not yet used for AD industrial purposes. Indeed, their production costs remain high and limit their application to high added value fields such as food and pharmaceutical industries [23]. One potential advantage of AD in comparison to the controlled environment of industrial fermentation is that expensive cofactors/redox mediators may naturally be found in the complex AD environment. Because lignin and links between lignin and carbohydrates have been shown to be the main bottleneck to lignocellulosic biomass AD [24], further research may focus on how to improve the use of lignin-modifying enzymes in AD systems.

Finally, cellulases, hemicellulases, amylases and pectinases which are targeting carbohydrates have been extensively tested on agricultural waste. If Romano et al. [25] did not show any significant increase in methane yield of wheat grass using Novozymes cellulase solutions whatever the way they were applied, recent studies have shown more promising results at lab scale. Sutayro et al. [26] worked on dairy cattle manure on which, a mix of pectate lyases and cellulases was added in equal proportion (w/w). Three days pretreatment at 50 °C followed by an anaerobic digestion permitted a 4.5% increase in methane yield whereas a direct addition did not have any positive impact. Using Novozymes products, Wang et al. [19] showed that pretreatment of a mix of cow manure and corn straw by a cellulase blend at 55 °C for 18 h permitted a 103% increase in the methane yield. Furthermore, in this work, direct addition of amylase to the anaerobic digestion permitted an increase of the methane yield by 111%. The use of Ultraflo® L, another cellulolytic enzymatic cocktail from Novozymes, in pretreatment of corn cobs (3 h at 40 °C and pH 6) also displayed positive results on subsequent AD as methane yield was increased by 14% [27]. Finally, by directly adding a mix of endoglucanase, xylanase and pectinase (CeluStar XL) formerly proposed by Dyadic (Jupiter, the USA), Zieminski et al. [28] succeeded in increasing by 28% the methane yield during co-digestion of sugar beet pulp silage and vinasse.

From these lab scale results, it can be suggested that the application of carbohydrates degrading enzymes can enhance both production rate and yield of methane but a careful attention has to be paid to process optimization such as enzyme selection for a given substrate, type of application, incubation time, pH, temperature; otherwise results can be negative [25]. Cellulases, hemicellulases, amylases and pectinases can be considered as promising enzymes due to their positive impact on biogas yield if well applied and no antagonist interference with

 $\label{eq:total_constraint} \textbf{Table 2} \\ \textbf{Effect of enzymatic pretreatment on biogas and methane yield in function of feedstock.}$ 

Feedstock	Enzyme/Product and/or suppliers	Type of	pplication	AD scale / AD process conditions	Methane yield (L/g VS)	VS)	References
		application	conditions		Control	Treatment	
Maize silage, chicken dung	Alkaline, serine and aspartic Protease /	Direct	Lab scale 2 L BMP / triplicate - 39 °C	2 – 43 days	0.265	0.29 – 0.405	[18]
and cow manure	not available		Lab scale 12 L semi-continuous / 39°C – 100 days (among which 17 days of enzyme daily addition)	C - 100 days (among which 17	No influence and even some yield between 13% to 36%	No influence and even some decrease in the biogas yield between 13% to 36%	
Cow manure (95%) and corn straw (5%)	Cellulase blend / Novozymes	Pretreat	9	Lab scale 600 mL BMP / Triplicate – 37 °C – 30 days - pH 7	0.179	0.364	[19]
,	Alpha-amylase / Novozymes	Direct	Lab scale 600 mL BMP / Triplicate - 37 °C - 30 days - pH 7	37 °C – 30 days - pH 7	0.179	0.377	
Switch grass	Protease/Novozymes Lignin Peroxidase + cofactors / Sigma	Direct Pretreat	Lab scale 600 mL BMP / Triplicate – 40 mL – 22 °C – 8 hours - pH La	- 37 °C - 30 days - pH 7 Lab scale 500 mL BMP / 35 °C - 37	$0.179$ $0.157 \pm 18\%$	$0.181$ $0.202 \pm 9\%$	[20]
	Aluticii Manganese Peroxidase + cofactors / Iana Biocciance CmbH	Pretreat	nL - 37°C - 8 hours - pH	uays - pri neutral Lab scale 500 mL BMP / 35 °C – 37 dave - nH neutral	$0.157 \pm 18\%$	$0.222 \pm 22.5\%$	
Corn Stover	Laccase + cofactors / not available	Pretreat	mL - 30 °C - 24 hours - pH	Lab scale 200 mL BMP / 30 days	0.293	0.344	[21]
Corn Stover	Laccase and Versatile Peroxidase +	Pretreat	mL - 30 °C - 6 hours - pH	Lab scale 200 mL BMP / 30 days	0.192	0.238	[22]
Miscanthus Wheat grass	cofactors / not available Endoglucanase - Xvlanase and β-	Pretreat	4.5 150 mL - 50°C - 7 days - pH 7 La	Lab scale 1 L batch / Triplicate –	$0.139$ $0.15 \pm 7\%$	$0.138$ $0.16 \pm 10\%$	[25]
	glucanase/Novozyme 342	1				0.00	
	Cellulase and β-glucosidase / 85%	Direct 2nd	Lab scale 1 L batch / Triplicate = 50 Lab scale 1 L batch / Triplicate = 50 methanogenesis: 14 days at nH 7	50°C – 14 days -pn / 50°C – Hydrolysis: 7 days at pH 5 –	$0.17 \pm 4\%$ $0.22 \pm 3\%$	$0.10 \pm 9\%$ $0.29 \pm 13\%$	
Dairy Cattle manure	Mix of Pectate lyase - Cellulase in equal proportions (w/w) / Novozymes	Pretreat		Lab scale 10L semi-continuous / $50^{\circ}$ C $-$ 42 days (HRT 14 days) - pH $^{2}$	0.135	0.146	[26]
		Direct		C – 42 days - pH 7.8	0.135	0.136	
Corn cob	Endoglucanase - Xylanase - Cellulase - Cellobiase - Feruloyl esterase / Ultraflo®	Pretreat	30 mL - 40 °C - 3 hours - pH 6 La	Lab scale 500 mL BMP / 35 °C $-$ 32 days - pH neutral	0.25	0.29	[27]
Sugar heat mile silage (75%)	L - Novozymes  Endomineansea - Xvianasea and nectinasea /	Drotrost	$\frac{1}{2}$	200 OF-0.32 / Hotel 11 bless 4 c 1	0.35	0.465	[28]
vinasse (25%)	CeluStar XL - Dyadic			pH 7.2			[07]
Various agricultural waste (not given)	Xylanase - Endo-glucanase - exo- glucanase and β-glucosidase / Methaplus® L 100 - DSM	Direct	Full-scale tests in 30 agricultural plants from 55 kW to 1022 kW / Various conditions of operation. HRT varying from 35 to 221 days and OLR ranging from 1.5 to 15.1 kg(m $^3$ d) $^{-1}$	ts from 55 kW to 1022 kW / varying from 35 to 221 days and ) <sup>-1</sup>	Biogas yield increased quality not affected by	Biogas yield increased in average of 18% . Biogas quality not affected by the enzyme addition	[29]
Various (manure, corn, sugar beet, oat meal, shea nut meal)	Probably mix of cellulases / Optimash 100-AD - Dupont	Direct	Full-scale - Biogas plant type plug-flow 2000 kW / 40–44 °C – 60 days HRT - loading of 110 t/day of fresh matter	w 2000 kW / 40–44 °C – 60 days natter	Methane production increased by 8%	ncreased by 8%	[31]
Co digestion of whole plant	Pectinase - cellulase and hemicellulase /	Direct	Full-scale - Test in a 2000 m <sup>3</sup> digester / 63 days of HRT - other	r / 63 days of HRT - other	Energy** increased by 4.7%	4.7%	[33]
Nye shage, maize shage FW	Axase 100 - Dom Blend of carbohydrase, protease and lipase (ratio 12:1.) Viscozyme® L - Novozymes & Amano modiucts	Pretreat	monnation not given 500 mL - 50°C - 10 h - pH 4.5 La da	Lab scale UASB reactor 2.7 L / 75 days - pH 8.3 to 7.6	_	0.35 L/g s-COD	[37]
FW	Rich enzyme fungal mash (mainly a wide	Pretreat	60°C – 24h La	Lab scale 1 L BMP / Triplicate –	0.198	0.468	[38]
MSW	spectrum of carbonymases) Cellulase and Amylase / Mix of Celludast and 1.5 L and Liquozyme SC DC - Novoymes	Pretreat	Pilot scale 50 kg batch – 55 °C – Nu 16 h after 30 min at 95 °C	Not performed	_	_	[41]
Landfill waste (5–20 years old)	Cellulase / CEL 30 - Sinobios (China)	Recircu	Lab scale 7 L batch / Duplicate – 130 days	) days	0.43*	0.6*	[45]
Landfill waste (30 years old)	Lignin Peroxidase + hydrogen peroxide / Sigma Aldrich	Direct	Lab scale 125 mL batch / 30 days		Methane production increased 23 times	ncreased 23 times	[46]
	Manganese Peroxidase + hydrogen peroxide / Sigma Aldrich	Direct	Lab scale 125 mL batch / 30 days		Methane production increased 36 times	ncreased 36 times	

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Feedstock	Enzyme/Product and/or suppliers	Type of	Enzymes application	AD scale / AD process conditions Methane yield (L/g VS)	Methane yield (L/g VS)		References
		application	conditions		Control	Treatment	
WAS	Rich enzyme fungal mash (mainly a wide Pretreat spectrum of carbohydrases)	Pretreat	60 °C – 24 h	Lab scale 160 mL BMP / Triplicate 0.24 – 35 °C – 30 days	0.24	0.367	[47]
Primary sludge	Mixture of amylase and protease (3:1) / Direct Jiehui biotechnology Ltd.	Direct	Lab scale 250 mL / 50°C- 14 h			\	[48]
WAS	Mix of sludge endogenous enzymes mainly amylases and proteases	Pretreat	37 °C – 28 h	Lab scale 250 mL BMP / Duplicate Biogas production increased by 23.1% $-$ 37 $^\circ\mathrm{C}-$ 30 days	Biogas production increa	ased by $23.1\%^{*}$	[49]
Digested mixed sludge	Amylase / Sigma Aldrich Cellulase /Sigma Aldrich Lysozyme /Sigma Aldrich Protease /Sigma Aldrich	Direct Direct Direct	Lab scale 600 mL BMP / 38 °C - 24 h at pH 7.7 Lab scale 600 mL BMP / 38 °C - 120 h at pH 7.7 Lab scale 600 mL BMP / 38 °C - 120 h at pH 7.7 Lab scale 600 mL BMP / 38 °C - 120 h at pH 7.7	24h at pH 7.7 120h at pH 7.7 120h at pH 7.7 120h at pH 7.7	No effect on biogas yield No effect on biogas yield Biogas yield increased by 9% Biogas yield increased by 37%	11 11 V 996 V 3796	[51]
Grease (5%) - Primary sludge (57%) -WAS (38%)	Grease (5%) - Primary sludge Lipase / Biolipasa L - Biocon S. A. (57%) -WAS (38%)	Direct	Lab scale 60 mL BMP / Duplicate – 35 °C – 60 days	- 35 °C - 60 days	$0.292 \pm 1\%$	$0.452 \pm 1\%$	[52]

lype of application: Direct addition in the digester – Pretreat: Addition in a specific vessel before digestion – Direct2st: Addition in the hydrolysis digester of a two-stage process – Recircu: Addition in recirculated

Methane yield:

\* Biogas yield (L/g VS) is given instead of methane yield.

\*\* Energy coming from the cogeneration (KWh/t of fresh matter) of the plant is given instead of methane yield.

anaerobic microorganisms or endogenous enzymes. Currently, carbohydrases are the only enzymes commercialized at full-scale for biogas applications. One hypothesis might be that carbohydrases are easier and cheaper to produce at industrial scale than lignin-modifying enzymes. Furthermore, due to its higher simplicity for implementation, direct addition can be seen as the most promising way to apply enzymes even though during AD operations, acidic pH that is optimum to certain enzyme activities cannot be applied. Thus, commercial products currently used are based on direct addition of carbohydrases.

On the market, several enzyme products to boost biogas yield are proposed by Novozymes, DSM, Dupont. It can be observed that other smaller enzyme producers or plant constructors are offering dedicated solutions for biogas production such as for instance Metzyme® Forci™ by Metgen (Kaarina, Finland) or Enzymaxx by Agrikomp (Merkendorf, Germany) but these products will not be further described as existing literature on their use is scarce. A similar remark can be drawn for Novozymes, which is offering a range of product called BG Max® 5005/5105/5205 targeting agricultural waste via blends of cellulases, hemicellulases, amylases and pectinases. However, the lack of information on these products, both from Novozymes itself and from the scientific literature, seems to indicate that Novozymes is not currently focusing on this specific market. On the contrary, DSM and Dupont appear to be more active in the field.

DSM Methaplus® L 100 was formerly a product of Biopract GmbH before the company was taken over by DSM in 2009. In 2007, a fullscale study carried out by Biopract on 30 agricultural plants showed that the direct addition of this enzyme blend had an average positive effect of 18% on the biogas yield without any effect on the biogas quality. Thus, Methaplus® L 100 addition was proved to be financially beneficial to all the 30 plants [29]. A precise composition of the DSM Methaplus® L 100 was given; it is composed of xylanase, endo-glucanase, exo-glucanase and β-glucosidase [30]. More recently, linked to the purchase by Dupont of the solid advanced fungal fermentation platform technology, called "C1", of Dyacid in 2016, a new product named Optimash® AD-100 was announced mid-2016. This enzyme blend from Trichoderma reesei and Myceliophthora thermophila has not been used in laboratory studies yet. Only Dupont data are available, showing that a direct addition in a 2000 kW full-scale plant led to an 8% increase in the methane yield and a 10% decrease in costs for digester operatorion [31]. However, more data will become available thanks to a new European funded project called Demeter. Started in October 2016, this public-private project aims to demonstrate economic benefits of using Dupont C1-enzyme product in biogas industry [32].

From these available products, two points can be highlighted. Firstly, it can be noticed that studies not provided by the supplier on these particular products are very seldom. That is why both lab and full scale academic studies would be very valuable to evaluate and compare the efficiency of these different products. The Demeter project, by its public-private partnership, is a promising example. Secondly, this review mainly focuses on methane yield improvement but enzyme products can offer other advantages. For instance, DSM Axiase™ 100 can lower viscosity of substrates mix rich in whole crop cereal silage [33]. This quality is given as the major feature of Axiase™ 100 product. Similarly, the addition of Fibrezyme® G4 of Dupont to MiaMethan® ProCut, a biogas powder optimizer from MIAVIT (Essen, Germany) is firstly presented as a viscosity reducer. From that, it is important to understand that enzyme application to agricultural waste has actually a wider range of applications than biogas yield improvement such as: reduction of digester viscosity, improved digester mixing, increase of anaerobic digestion rate which implies shorter hydraulic retention time, better use of feedstocks and a wider range of possible feedstocks. Nevertheless, if these features can be observed at full-scale, they are less commonly studied at lab scale as they are more complicated to carry out than methane potential tests at this scale. Thus, pilot research and full-scale trials would facilitate better evaluation of the technology [34].

#### 2.2. Food waste, OFMSW and municipal solid waste

Food waste (FW) can be defined as very variable substrates for anaerobic digestion. However, recent statistical analysis of FW literature has permitted to obtain the main features of FW such as an average high carbohydrate content (36.4% VS) and proteins content (21% VS), as well as a pH around 5 [9,35]. Linked to these features, FW has a good potential for AD treatment but a careful handling is needed to avoid inhibitions by rapid acidification. This drawback is due to the combination of the quick hydrolysis of the carbohydrates and the release of high quantity of ammonium as well as ammonia from protein hydrolysis that inhibits methanogenesis and consequently leads to the accumulation of a high quantity of volatile fatty acids (VFA). These VFA acidify the AD medium and methanogens microorganisms are even more inhibited (generally at a pH below 5.5). Thus, in the case of FW, one of the main objectives of pretreatment is to reduce the quantity of VFA [9,36]. By doing so, secondary objectives of pretreatment such as reducing the hydraulic retention time or improving the biogas yield may also be reached.

Recent literature on the enzymatic pretreatment of FW is relatively scarce and the few existing articles are focusing on improvement of the hydrolysis and methane production (Table 2). Moon and Song [37] carried out an enzymatic pretreatment of 10 h at 50 °C on FW mixing carbohydrases, proteases and lipases from commercial solutions. Following this step, FW was diluted and digested in an up-flow anaerobic sludge blanket (UASB) reactor. High methane yield and reduced HRT were obtained for a loading rate of 9.1 g s-COD/L/d. Higher loading rates decreased the methane yield due to slow acidification of the environment showing that digester input coming from an enzymatic pretreatment has to be carefully managed to avoid any inhibition of the methanogenesis step. More recently, Kiran et al. [38] developed at lab scale a low-cost pretreatment based on enzyme-rich fungal mash. In this study, fungal mash is obtained from waste cakes incubated with Aspergillus awamori that notably produces carbohydrases among other enzymes. The pretreatment consisted of a mixing of fungal mash to FW for 24 h at 60 °C. Hydrolysis was improved leading to a 2.3-fold increase in the biogas yield and a 3.5-fold increase in the production rate without any problem of acidification. Out of these results, fungal mash seems to be a promising complement for pretreatment, which combines both reduced cost and improved AD. A larger scale study based on this principle would be valuable. Besides, no enzymatic commercial products, specifically dedicated to food waste pretreatment, are clearly emerging after enzyme suppliers offer analysis.

The lack of examples of enzyme use for FW pretreatment both at lab and full scales can be explained by several factors: (1) natural high hydrolysis rate of the FW is already sufficient to ensure high biogas production at full-scale; (2) increase of this natural hydrolysis rate could jeopardize the future AD by acidification; (3) efficient VFA regulation in FW via enzyme addition has not been proved yet. From that, it can be assumed that enzyme addition to food waste in order to boost methane yield will stay limited to very specific food waste that are difficult to digest.

Similar assessment can be drawn for OFMSW. Literature on enzymatic pretreatment of OFMSW is very scarce [39]. Complexity and composition variability over time of OFMSW are limiting efficiency of a standard enzymatic cocktail. It would be necessary to adapt its composition in function of OFMSW composition shifting, which is difficult to carry out both at lab and full scales. Besides, it was also observed that acceleration of anaerobic digestion rates can be obtained rather than improved yields in terms of methane production [40].

An emerging and very promising application for enzyme treatment concerns the field of Municipal Solid Waste (MSW). For MSW AD, source sorting of municipal solid waste would be the ideal case. However, it needs higher operational cost, it is difficult to apply in dense urban area and it makes the process reliable on community goodwill. Because of these reasons; mainly unsorted municipal solid

waste are currently collected and their sorting before AD remains an issue. Today, mechanical pretreatments are principally used in waste plants to separate the organic and the inorganic fractions. Still, they have several drawbacks such as: difficulty to extract glass and plastics that can hamper both AD and digestate quality, losses of a large part of the organic fraction. These drawbacks make mechanical pretreatment of municipal solid waste controversial and alternatives have been explored. The use of enzymes as a liquefaction pretreatment of the organic fraction for the sorting of municipal solid waste has emerged as a very promising technology, which is mainly supported by Dong Energy (Fredericia, Danemark). A first academic study published on this topic was using cellulase and amylase from two industrial Novozymes solutions (Celluclast 1.5 L and Liquozyme SC DC). By combining a step at 95 °C for 30 min followed by an enzyme addition at 55 °C for 16 h, they succeeded in obtaining very good liquefaction results from 50 kg MSW pilot scale experiments [41]. In parallel, a pilot plant was started in 2009 in the Danish incinerator Amagerforbraending and has been operated with success since then by Dong Energy. The relatively low enzyme addition, the efficient and easy sorting by sieving and the promising results at pilot scale have paved the way to a technology scale up. Therefore, Dong Energy will start operating in spring 2017 the first industrial plant based on this technology. Located in Northwich (UK), it will have an annual capacity of 144, 000 t of waste and an energy production forecast of 5 MW. All enzymes used on-site will be provided by Novozymes following an exclusive partnership. Dong Energy under its project entity Renescience A/S has published several patents permitting to have some insights in the full-scale technology. According to Jensen et al. [42], MSW will be heated at temperature up to 75 °C by warm water addition, and then enzymatic hydrolysis and microbial fermentation will be carried out in a long cylindrical tank that slowly rotates to mix all of the waste with enzymes and microorganisms. Temperature is maintained between 45 °C and 50 °C, pH is below 6, HRT is lower than 24 h, influent has a dry matter content ranging between 10% and 45% and enzymes added were only cellulases. More recently, a new patent has underlined the importance of using a blend of enzymes to ensure better liquefaction [43]. Thus, a cellulolytic background of enzymes (between 40% and 99% w/w of the total enzyme protein) can be complemented with proteases (0-20%), lipases (0-10%), beta-glucanase (0-30%), pectate lyase (0-10%), mannanase (0-10%) and amylase (0-10%) to obtain an optimal efficiency blend. Concerning the combined microbial fermentation, lactic acid bacteria and cellulase producing microorganisms are inoculated at the same time that enzymes to enhance the bioliquefaction and probably decrease the enzyme cost [44]. If Dong Energy succeeds with its full-scale demonstration plant, several others may be constructed (a new one is already ordered and will be located in Eindhoven) ensuring a promising prospect for enzyme applications in MSW sorting pretreatment.

Landfill is another emerging field of application for enzymatic pretreatment via leachate recirculation. Indeed, it has been shown that 40 to 50% of the waste composition of landfill sites is composed of paper and cardboard rich in lignocellulose that are degraded with difficulty in this type of system [45]. To illustrate that, Hettiaratchi et al. [46] showed that direct addition of LiP or MnP with hydrogen peroxide in BMP, permitted respectively a 23 times and 36 times increased in methane yield of MSW coming from a 30-year-old landfill. These results clearly illustrate the potential methane leftovers that old landfill contains. They also show the interest to use enzymes to release them. An ingenious way to apply them to existing landfill is to add lignocellulose targeting enzymes directly into the recirculated leachate. This was recently successfully done at lab scale. The addition of a blend of cellulases to a recirculating leachate passing through an MSW coming from a 25-year-old landfill permitted to increase by 50% the biogas yield [45]. Subsequent economic analysis showed that enzymes addition, despite their high cost, created strong benefits of 7-9 euros/tons of waste, ensuring a positive return on investment. From our knowledge, it has not been done yet, but it would be of high interest to test this type of enzyme treatment on full-scale landfill sites and to evaluate both its effect on long-term biogas production and its profitability.

#### 2.3. Sludge

Sludge produced from wastewater treatment plants (WWTP) can be divided into settled primary sludge and waste activated sludge (WAS) coming from the biological treatment. If the former can be readily digested, the latter is less biodegradable leading to a 30–35% conversion efficiency of total sludge organic matter in biomethane [47]. WAS is made of flocs rich in microbial biomass and exopolymeric substances, which are mainly proteins and carbohydrates. Lipids are found in lower quantities in sludge as they are generally captured in grease trap. Several types of enzymes can be applied to enhance sludge hydrolysis in order to both reduce the amount to dispose and improve the amount of biogas produced (Table 2).

Due to the sludge composition, mainly carbohydrases and proteases have been used in literature until now. For primary sludge, direct addition of enzymes was carried out and hydrolysis improvement has been evaluated. By directly adding a mixture of one amylase and one protease to a primary sludge, Yang et al. [48] observed both an enhancement in sludge solubilization by 70% and in its hydrolysis rate by tenfold. However in this study, biogas measurement during AD following enzyme addition was not done. Despite this lack of results, it can be assumed that improvement of the hydrolysis step will at least increase AD rate. But an increase in biogas yield and a reduction in final sludge volumes remain highly uncertain and further studies have to be done. Proteases and carbohydrases have also been used efficiently as a pretreatment step for WAS before their AD. For instance, a 28-h pretreatment at 37 °C using amylases and proteases isolated from the fermentation of endogenous sludge microorganisms permitted a 23% increase in biogas production compared to control [49]. According to the authors, this increase was due to a better solubilization of the sludge. even though flocs disintegration was not observed. More recently, application of a fungal mash, mainly rich in carbohydrases, as WAS pretreatment also led to a 50% increase in methane yield and a three times higher hydrolysis rate than the control [47]. No information was given on the evolution of the flocs structures in presence of the fungal mash. At higher scale, very rare trials are reported. Rademacher et al. [50] added a mix of proteases and carbohydrases during the digestion of sludge resulting in a 10% increase in biogas yield. However, a high dosing of 0.5-0.7 kg/tons of sludge was needed to reach such positive

Aside from proteases and carbohydrases, lipases are generally less studied due to the fact that lipids are not one of the main components of sludge and that the release of long-chain fatty acids (LCFA) from their hydrolysis could cause inhibitory conditions for AD [51]. This can be well illustrated by the direct addition of lipase to the co-digestion of grease with a mix of primary sludge and WAS [52]. In this study, optimum lipase addition led both to an increase of 50% in the methane yield and of 25% of the hydrolysis rate. However, too high concentration in lipase strongly hampered that improvement, probably due to high and inhibitory concentration in LCFA. In this particular case, reasonable addition of lipase is of interest as anaerobic digestion needs to handle lipids coming from a grease trap, but this application is not widely used.

If proteases and carbohydrases have been shown to be the most suitable enzymes for primary sludge and WAS pretreatment, the important question of their lifespan and activity in the sludge after addition remains. To answer this question, Odnell et al. [51] followed at lab scale both activity and lifetime of several types of enzymes during AD of Waste Water Treatment Plant (WWTP) digested sludge. Surprisingly, cellulase addition did not show any biogas yield improvement due to an immediate inhibition of its activity by an endogenous component of the sludge. Similarly, amylase did not increase biogas yield and its lifetime was shorter than 24 h due to endogenous proteases that hydrolyse it.

Lysozyme showed the longest effect as methane yield was still increasing 48 h after addition for a final net increase of 9% in comparison with the control. Lysozyme effect was prolonged over 24 h (its lifetime in the sludge) due to the release of intracellular enzymes that probably favour autohydrolysis. Finally, proteases such as subtilisin displayed the highest increase in methane yield (37% increases after 24 h) with an activity that was shorter than 24 h. From this study, activity lifetime of all types of added enzymes in sludge was very limited in time (generally less than 24 h). It can be explained by both inhibitions due to unknown sludge compounds and enzyme degradation by endogenous proteases. Despite this short period of activity, proteases and lysozyme succeeded in increasing biogas yield and the production rate at high dosage. From this high dosage, the cost/benefit ratio is likely too high. To favour the implementation of such pretreatment, enzymes with higher activity or longer lifetime have to be developed in order to lower dosages.

Carbohydrases and proteases have shown to give some good technical results at lab and full scales but their high cost and short lifetime strongly limit their application at industrial scale for sludge pretreatment. Thus, from our knowledge, no product is available on the market as a specific enzymatic pretreatment to enhance biogas yield and reduce sludge volume. However, technologies focusing on enhancing activity lifetime or reducing cost of enzymes in sludge are being developed. For instance, Veolia (Paris, France) in order to reduce membrane clogging of Memthane® and Biosep® processes, has developed a patented technology called Memzyme™. Before reaching the filtration step, sludge coming out from the anaerobic digester is passing through an enzymatic reactor, where enzymes are fixed on a three-dimensional support that enhances their activity lifetime and therefore reduces their dosage need [53]. From this idea, similar fixed enzyme technology could be imagined that would permit the implementation of a largescale cost effective pretreatment of sludge before AD. Another example that is studied at lab scale is garbage enzymes, a low-cost crude enzyme solution made of fruit peels fermentation. The pretreatment of WAS using this type of enzyme solution successfully improved its solubilization and its subsequent AD [54]. Garbage enzymes due to their low production cost, environmental advantages, and positive lab scale results may also be a promising option to explore for biocatalytic sludge pretreatment.

#### 2.4. Assessment of enzymatic pretreatments

Experiments that follow a careful selection of the pretreatment enzyme type in function of the substrate composition have generally shown good results in biogas and methane yield improvement. However, most of these data are obtained at lab scale and in batch BMP tests. At higher scale, main issues hindering widespread use appear to be the enzyme cost and the enzyme activity lifetime after addition. To solve these issues, several tracks appear: (1) advance in enzyme engineering using recent molecular tools may permit to obtain more robust enzymes having a higher activity and less sensitivity to specific inhibitions (phenol for instance in the case of lignocellulose degradation). Speda et al. [55] recently improved lab scale AD of ensiled forage ley by using an enzymatic cocktail (previously obtained from an AD environment) that displays long-lasting activities and stability during the digestion but full characterization of this enzyme cocktail for its production in recombinant systems remains challenging; (2) development and use of highly productive genetically modified microorganisms by companies should permit to reduce the enzyme production cost; (3) on-site production of enzymes for large biogas plant can be a solution to reduce enzyme cost, a company called Greenmove technologies (Leeuwarden, the Netherlands) is developing this kind of solution in plug-in containers; (4) the addition, in complement of enzymes, of highly productive enzyme microorganisms under solid (fungal mash for instance) or liquid form could permit both to reduce the cost of downstream process for enzyme purification and to increase enzyme activity as they are produced in situ; (5) finally, enzyme fixation on a

three-dimensional support is a promising way to enhance the activity lifetime of the enzyme and thus reduce dosage.

Besides, it is important to underline that enzymatic pretreatment is a versatile technology that should not only be economically evaluated through the prism of biogas yield increased. For instance, a cheaper substrate use, a reduced HRT or a lower mixing cost would be very profitable in certain full-scale plants and should be considered in the future. Even more disruptive application such as liquefaction of MSW for sorting may soon succeed at full-scale. For all these reasons, enzymatic pretreatments remain a very promising tool for anaerobic digestion enhancements that may continue to develop.

#### 3. Anaerobic pretreatments

In this part, existing anaerobic pretreatments, whatever their predominant aim is (storage or enhancing AD yield), will be described. Thus, three anaerobic pretreatment types can be distinguished: basic two-stage digestion that can be applied to a broad range of feedstocks, enhanced two-stage digestion using specific hydrolytic anaerobic consortia that are focusing more on lignocellulosic biomass and ensiling that is used for agricultural waste or crop storage. Each of them has its own degree of development ranging from lab scale trials to widely used.

#### 3.1. Two-stage digestion

Two-stage digestion is based on the separation in time and space of the hydrolytic and acidogenic steps from the acetogenic and methanogenic steps [56]. This is generally made by using two separate vessels, the first step can thus be considered as pretreatment of the methanogenic step. Based on this primary principle several technologies can be distinguished in function of the temperature applied in these vessels: thermophilic two-stage digestion, mesophilic two-stage digestion and temperature Phased Anaerobic Digestion (TPAD) where the first vessel is thermophilic (or hyper-thermophilic) and the second vessel is mesophilic. Ariunbaatar et al. [39] listed both the advantages and drawbacks of these technologies that are given in Fig. 2.

If this technology already exists at full-scale, it is not yet very common depending on the feedstock and continues to be developed at lab scale. Thus, for each feedstock, focus on recent research projects and examples of available commercial technologies will be given.

#### 3.1.1. Agricultural waste

It is commonly accepted that two-stage digestion technology is valuable for easily biodegradable waste that can generate acidification issues [12]. Agricultural feedstocks, often rich in lignocellulose, are rarely easily biodegradable and application of two-stage digestion is in this case very questionable. Indeed, if pH does not drop and hydrolysis is a long process, methanogenic activities will appear and a single vessel will be sufficient. To support this theory, Lindner et al. [57] recently showed that two-stage anaerobic digestion fits better to sugar rich

feedstocks. They evaluated the most suitable feedstock for a continuous two-stage process at pilot scale, among maize silage, sugar beet and a mix of hay/straw. After 50 days experiments, it appears that OLR and substrate degradation were respectively 5 times and 4 times higher for sugar beet than hay/straw. Besides, in comparison to their respective BMP, methane yield was 70% lower for hay/straw whereas sugar beet was only 8% lower.

Besides, a distinction must be made with the other type of two-stage anaerobic digestion that is commonly found in agricultural biogas plant, which consists of a digester and a maturation or post-digester. Indeed, in this particular set-up, hydrolysis and methanogenesis are taking place in both digesters and the pH of the first digester is neutral. In this case it is not a hydrolytic pretreatment but rather a way to increase HRT and to recover more biogas from a given feedstock.

Some biogas plant manufacturers are selling two-stage digestion systems for agricultural waste such as Bioplex Ltd (Stockbridge, the UK) or Snow leopard projects GmbH (Reisbach, Germany). However, regarding the two previous conclusions, full-scale applications at farm scale of a "hydrolytic" two-stage digestion remain very seldom as it generates both higher complexity and costs without clear benefits compared to a single stage digester. To reduce costs for farm scale, innovative compartmented vessels can be used such as Linear Vortex™ by DVO Inc. (Chilton, the USA) that displays a very specific "U" design or Arkometha™, by Arkolia Energies (Mudaison, France), a highly productive, small size and multi-step vessel digester dedicated to solid digestion. However, these innovations can be considered as process configuration or bioreactor design improvement and therefore are outside the scope of the current pretreatment study. Another option is to enhance first step hydrolysis using specific consortia as it will be developed thereafter (Section 3.2).

#### 3.1.2. Food waste, OFMSW and municipal solid waste

Contrary to agricultural feedstocks, food waste are easily biodegradable substrates on which two-stage digestion is highly recommended and therefore often applied. For instance, a recent pilot study using food waste in 5 m<sup>3</sup> digesters showed that two-stage mesophilic performed better than a single stage. It ensured both a better methane yield (460 vs 380 L CH<sub>4</sub>/ kg VS) and a better digester stability over time [58]. Aside from higher methane yield, concomitant hydrogen production from the pre-digester is another reason that makes two-stage digestion very valuable. Thus, Cavinato et al. [59] successfully obtained a stable hydrogen production from food waste in a twostage thermophilic pilot set-up and Gioannis et al. [60] showed that two-stage AD of food waste yielded 20% more energy than one stage AD mainly due to hydrogen production (5% of the total energy) in the first stage together with a subsequent higher methane production due to lower inhibition. According to Sen et al. [61], hydrogen can be recovered from the pre-digester with a yield ranging from 10% to 20% of the total substrate energy potential. This hydrogen can be further used to produce biohytane, electrical power or heat. Thus, in this article a

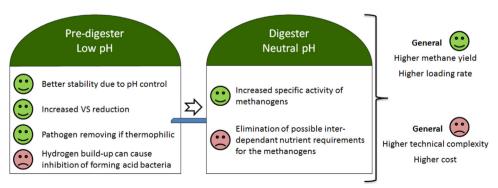


Fig. 2. Advantages and drawbacks of two-stage digestion.

promising biorefinery model proposed for food waste is including a temperature phased anaerobic digestion, from which both hydrogen and methane production are maximized and can be then valorized. Similar results were obtained for OFMSW in a recent study where TPAD displayed better results than single stage process. Both COD removal and methane yield were increased up to 15% and 60% respectively, in comparison to a single-stage process [62].

At full-scale, several two-stage technologies dedicated for bio-waste are commercially available. Without being exhaustive, some examples are listed below. The Gicon® process proposed by Gicon Group (Dresden, Germany), is a two-stage dry-wet digestion that can be used for feedstocks rich in impurities and unwanted compounds such as unsorted MSW. Firstly, a dry digestion is performed. Then, hydrolysis percolates are recovered and further digested in a liquid digester to produce methane-rich biogas. A plant based on this technology and handling 27, 000 t of organic waste per year has been operated since 2012 in Richmond (BC, Canada). Veolia is also offering two-stage anaerobic plants under the trademark Biomet™. In this technology, two CSTR digesters are used in series. A territorial plant using this technology, named Artois Methanisation (Pas-de-Calais, France), treating 25, 000 t/year of waste has been operated by Veolia's business unit SEDE since 2012.

#### 3.1.3. Sludge

Several types of two-stage anaerobic digestion processes have been applied to sludge in order to improve the hydrolysis step of its digestion. Temperature phased anaerobic digestion (TPAD) has been extensively tested at lab scale and is given as an effective strategy that promotes solid destruction, sanitation of pathogens and higher methane production [63]. However, it is also outlined that TPAD is still in its infancy due to its complexity and needs further optimization especially for up-scaling. Two-stage thermophilic AD is another promising option. Recent pilot trials (CSTR of 0.15 and 0.23 m<sup>3</sup>), showed that WAS high rate (OLR close to 2 kg VS/m3 per day) thermophilic AD was better in the case of a two-stage than a one-stage set up [64]. Biogas production was increased by 32%, digestate sanitary quality met all requirements to be used in agriculture and economic analysis showed that additional hydrolysis reactor can be paid back in about 3 years. Finally, another option which is being developed at lab scale is a two-stage process called inverted phase fermentation (IPF). This method consists of a preliminary 2 days anaerobic digestion at slightly thermophilic conditions (42 °C), from which gas bubbling, separate sludge into a top layer enriched in solids and a liquid clarified bottom layer. Subsequent separation of the two phases and respective anaerobic digestion showed interesting results. Negral et al. [65] by applying this technique to sludge showed that digestion time in a 2.5 L CSTR was lowered as the organic loading rate could be increased between 3 and 6 fold thanks to phase separation.

From these different sludge two-stage processes, similarities are coming from the use of higher temperatures that favour endogenous hydrolytic enzyme activities and accelerate the hydrolysis reaction rates. Common benefits are: higher biogas production, lower HRT, final sludge volume reduction and pathogen reduction (in the case of an adequate time and temperature exposure).

Due to these given benefits, full-scale technologies based on a two-stage process have been developed. An English company called Monsal that was taken over by GE Water & Process Technologies in 2014 (and lately has become a business unit of Suez), has developed an advanced digestion technology (ADT) for sludge. According to Hacking [66], this technology is based on biological hydrolysis that is carried out in six reactors in series (first stage) leading to the digester (second stage). Total HRT of the first stage is about 3 days. Sludge is heated between 42 °C and 55 °C and only a portion of each reactor vessel is transferred forward, leaving a concentration of endogenous enzymes behind in each of the 6 vessels. Hydrolysis mechanisms of this method were recently explored showing that improvement of AD was, at these

temperatures, due both to a better depolymerization of extracellular polymeric substances (EPS) into smaller organic compounds as well as VFA and to the release of EPS bounded proteins and carbohydrates [67]. Twelve Monsal plants using this technology have been commissioned since 2002 mainly located in the United Kingdom. Their operations are given as successful leading to higher sludge digestion capacity, higher biogas production and reduced final biosolids mass. This technology is very promising as it permits to enhance WWTP energy production.

#### 3.2. Enhanced two-stage digestion

To manage lignocellulose rich substrates in a two-stage digestion set-up, several ways to enhance the first hydrolytic step were explored. The shared idea of these different ways is to bring to the system anaerobic hydrolytic microorganisms that would degrade more efficiently and more quickly lignocellulose. In other words, bioaugmentation is applied to the first hydrolytic step. These exogenous microorganisms can come from different origins that permit to define several ways to enhance two-stage digestion.

#### 3.2.1. Rumen derived anaerobic digestion

Rumen is one of the most efficient microbial ecosystems in nature to carry out degradation of lignocellulosic biomass. This ecosystem is made of bacteria, fungi, protozoa and archaea that can grow rapidly on lignocellulose and can secrete high quantity of hydrolytic enzymes. Thus, in this environment lignocellulose is quickly degraded into VFAs that can be further metabolized by ruminants [68]. It can be noticed that production of methane is not the aim of this ecosystem therefore; methanogens content is naturally low in rumen fluid. To make it short, rumen ecosystem is foremost a super hydrolytic consortium and secondarily a methane producer.

Pretreatments using rumen ecosystem have been widely tested at lab scale on several lignocellulosic feedstocks to increase their methane production. One of the underlying aims is to recreate an efficient artificial rumen system. This kind of pretreatment can be gathered under the name rumen derived anaerobic digestion (RURAD) [69]. Here, focus is made only on two-stage AD but it has to be noted that several other experimental set ups using rumen fluid have been tested up to now such as continuous-flow culture reactor, dual-flow continuous culture reactor, anaerobic sequencing batch reactor and modified UASB reactor [70]. Zhang et al. [71] pretreated rice straw with rumen fluid at 39 °C, for 24 h under anaerobic conditions and then digested it with sludge inoculum at 35 °C for 30 days. At the end, biogas production from AD was increased by 66.5%, its methane yield was improved by 82.6%, and the digestion time was 40% shorter than control. This better digestion can be explained by biomass structural changes during pretreatment such as an increase of the specific surface area, the removal of the hemicelluloses, a decrease in cellulose crystallinity and in lignin content [70,72].

Rumen fluid addition pretreatment displays very interesting results showing that lignocellulosic biomass can be efficiently hydrolysed. However, several points strongly hinder its application at full-scale for methane production: (1) the understanding of the rumen ecosystem is still limited; (2) the reproduction of an efficient and long-lasting artificial rumen system is for the moment kept out of research hands; (3) promising lab scale results are based on the application of a high ratio of rumen fluid on solid biomass (ratio of 10 to 20) that indicates the need to use a large amount of rumen fluid in case of a current scale up; (4) rumen fluid is produced in limited quantity from slaughterhouses, its extraction has a cost and it is better to use it fresh. Therefore, from our knowledge, there is no example of industrial two-stage AD plant using rumen fluid as pretreatment in the first stage. Research still needs to advance on rumen understanding and on ways to maintain in a hydrolytic digester an efficient rumen ecosystem over time. From these advances, promising potential pretreatment scale-up for biogas

production might emerge.

#### 3.2.2. Pure culture or designed anaerobic hydrolytic consortia

Microorganisms isolated from other environments than rumen can be used to enhance the hydrolytic first stage of a two-stage digestion. Pure culture of hydrolytic microorganisms was explored with contrasting results. A first study using Caldicellulosiruptor lactoaceticus, a thermophilic anaerobic bacterium isolated from hot springs, was carried out on a laboratory two-stage continuous thermophilic (68 °C/ 55 °C) digestion treating fibre rich cattle manure. Bioaugmentation of the first stage with C. lactoceticus, led to a 10% higher methane yield than the control [73]. However, results can be very different from one strain to another and it is needed to carefully select the strain to obtain positive results. For instance, Nkemka et al. [74] used an anaerobic hydrolytic fungus, Piromyces rhizinflata YM600, to pretreat corn silage and cattails in a laboratory two-stage digestion system. In this study, bioaugmentation of the first stage did not increase the methane yield from both corn silage and cattail due to the competition for growth between the fungus and methanogens microorganisms.

Consortia are another option that can be more efficient and robust than pure culture for bioaugmentation of the hydrolytic first stage. Martin-Ryals et al. [75] tested at lab scale a commercialized cellulolytic culture of anaerobic bacteria (mainly from the genus *Clostridium*) on corn lignocellulosic residues in a two-stage continuous digestion. Daily bioaugmentation increased hydrolysis and VFA concentrations that led to a final methane yield 56% greater than the control. Furthermore, economic analysis showed that daily bioaugmentation was economically feasible and could improve the economics of AD by 27–34 dollars/dry ton for this specific feedstock. Similarly, Poszytek et al. [76] designed a Microbial Consortium with High Cellulolytic Activity (MCHCA), made of 16 selected strains, that was used to treat maize silage in a two-stage digestion system. MCHCA addition succeeded in significantly enhancing the biogas yield by 38% and increased the methane content by 14%.

From these results, it seems clear that bioaugmentation using anaerobic hydrolytic consortia would be preferred to pure culture as they adapt better to environmental changes (pH, T°) and tend to show higher resistance to the presence of toxic organic compounds, heavy metals or contamination by other strains [76]. Several hydrolytic anaerobic consortia have been developed and it would be interesting to test them in full-scale applications [77]. One of the impediments for a technology scale up is probably lying in the lack of a market. Indeed, nowadays full-scale "hydrolytic" two-stage digesters dedicated to lignocellulosic substrate are very seldom. Bioaugmentation scale-up will be part and concomitant with the development of a reliable hydrolytic two-stage digestion solution at full-scale dedicated to lignocellulosic feedstocks.

#### 3.2.3. Hydrolysis using the liquid fraction of digestate

Another possibility for the hydrolytic first stage consists in submerging the lignocellulosic feedstock into the liquid fraction of digestate (LFD). Then, the feedstock and the LFD are transferred into the second stage digester. This strategy is based on the recirculation of the LFD and has several interests that make it a very interesting solution to pre-degrade lignocellulosic feedstocks: (1) LFD is a microbial medium that contains abundant hydrolytic microorganisms; (2) LFD is an additive agent that provides abundant nutrition substances for the AD under the form of inorganic (nitrogen and phosphorus) and organic molecules (remaining carbohydrates, proteins, etc.); (3) it is a low-cost solution as LFD is readily available on biogas plant and it can be environmentally friendly in the case of LFD overproduction [78].

Two recent lab scale studies applied with success this pretreatment. Hu et al., [79] pretreated corn stover for 3 days at ambient temperature with LFD and obtained 70.4% more biogas production, 66.3% higher methane yield and a 40% shorter digestion time compared to the control. Similarly, Wei et al. [78] applied to a mix of cattle manure and

corn stover LFD for 5 days at ambient temperature and also obtained a better subsequent AD, where methane yield was 25% higher, buffer capacity was improved and digestion time reduced. But the most interesting result in this study is that LFD pretreatment performed as well as a chemical pretreatment (e.g. caustic soda or ammonia solutions) but for a lower cost (in average 60% less costly). Recirculation of LFD is a method which is widely applied within agricultural plants at full-scale in order to ensure a proper and homogenous microbial activity. However, LFD pretreatment, where feedstocks is in a first stage only in contact with LFD is not yet applied at full-scale from our knowledge. Regarding the lab scale results, it would be very interesting to scale up this technology. A low-cost pretreatment solution under the form of a two-stage anaerobic digestion treating lignocellulosic feedstocks may emerge from this concept.

#### 3.3. Ensiling

Ensiling is a very conventional and widely applied method for efficient storage of crops at farm scale. It is based on the application of anaerobic and wet conditions that favour lactic and acetic acid fermentations, transforming free sugars, hemicelluloses and cellulose mainly into organic acids. It results in an environment acidification that inhibits most of the activities of microorganisms that would otherwise degrade the biomass. An important feature of ensiling is that it does not permit to degrade lignin efficiently [80]. Montgomery et al. [56] underlined that ensiling cannot be considered as a pretreatment to enhance biogas production, due to its minimal effect reported on methane yield. However this statement can be discussed.

Indeed, ensiling is a complex biological process that needs a careful tuning. To ensure its success, the following parameters need to be optimized: particle size, moisture, storage conditions, storage duration, temperature and additives [81]. Each of these parameters has an impact on the storage quality but also on the future methane yield of the feedstock. Only a few studies that took into account organic matter loss succeeded in showing an increase in methane yield using ensiling. For instance, Pakarinen et al. [82] reported a 50% increase in methane yield of hemp after ensiling. More recently, ensiling of giant reeds permitted to obtain an increase in methane yield ranging from 4% to 14% [83] and ensiling of switchgrass also improved subsequent AD despite a 6% mass loss [84]. According to Teixeira Franco et al. [81], these results may be explained by gains in biochemical accessibility that overcame the potential organic matter loss during storage. In this regard, if anaerobic conditions are well applied, the most critical parameter to ensure an increase in methane yield appears to be the feedstock biochemical characteristics. Therefore, results are strongly feedstock dependent and that might explain why ensiling of some feedstocks (mainly energy crops) have shown no effect or negative effects on the methane yield [85,86].

Even if it remains very challenging, future research may find out for dedicated promising feedstocks and with optimized ensiling conditions a way to enhance biogas production. Furthermore, its combination with other biological pretreatments may be very interesting in the case of lignocellulose degradation and it will be developed thereafter [87]. Over time, ensiling may not only be a storage process but also become a potential low-cost pretreatment to enhance subsequent digestion of specific feedstocks.

#### 3.4. Assessment of anaerobic pretreatments

Anaerobic digestion as a pretreatment to enhance biogas production is developed at full-scale under the form of two-stage digestion to treat easily degradable substrates (for instance food waste) and sludge. However, this process is less promising for lignocellulosic biomass. With this kind of substrate, two anaerobic solutions are currently explored: an enhancement of the first hydrolytic stage by bringing under different forms efficient anaerobic consortia or an ensiling step for

certain specific biomass. This may enhance biogas production but further research and scale-up need to be carried out. From our knowledge, no full-scale example currently exists for these hydrolytic pretreatments.

#### 4. Aerobic pretreatments

In the case of aerobic pretreatments, the presence of oxygen permits to take full advantage of decomposition capacities of facultative anaerobic and aerobic microorganisms. These microorganisms degrade organic matter using oxygen as a final electron acceptor in order to ensure their growth. Through their metabolism, they mainly produce CO<sub>2</sub>, water, nitrate and sulphate [8]. These types of pretreatments can be very interesting in the case of lignocellulosic substrates. Indeed, they can offer better subsequent accessibility to organic matter during AD by specifically degrading lignin polymers [80]. In the case of municipal solid waste and sludge, they can also be of interest as they can permit to warm up waste before AD, to speed up AD start up and to reduce waste volume. An increase in methane yield can also be obtained if pretreatment is finely tuned as it will be seen thereafter.

Aerobic pretreatments can be divided into three categories. The first one is simple aeration where substrate is only subject to aerobic conditions. The second one is aerobic pure culture pretreatment where preaeration is complemented by the inoculation of a given aerobic microorganism featuring interesting degrading properties. The last category gathers aerobic consortium pretreatments where aerobic consortia under liquid or solid forms are inoculated instead of a pure culture. Micro-aeration during AD and aeration of the digestate are out the scope of this review but it can be noticed that several works displaying interesting results have been published on these topics [88,89].

#### 4.1. Simple aeration

Simple aeration can be operated under solid state. In this case, it is important to make a distinction between simple aeration and composting. Indeed, in the first case, feedstock is shortly exposed to aerobic conditions, it may eventually reach thermophilic phase but never the maturation phase. While in the case of composting, according to the classical definition, feedstock is subject to a long aeration time, including mesophilic, thermophilic, cooling and maturation steps [90]. Duration, temperature and organic matter stabilization are the main criteria to distinguish between both processes. Besides (micro-)aeration prior AD can also be applied. It generally consists in injecting air or oxygen in the system for a given period before to shift to anaerobic conditions. Simple aeration is taking advantage of endogenous aerobic or facultative anaerobic communities' hydrolytic activities. This pretreatment can be relatively easy to implement for all kinds of organic feedstocks; therefore, a wide range of results at lab scale have been obtained. Recent or highly interesting literature results are gathered in Table 3.

#### 4.1.1. Agricultural waste

Simple aeration is particularly interesting in the case of lignin rich substrates as oxygen favours ligninolytic activities of endogenous fungi and bacteria populations. Thus, some studies have recently evaluated at lab scale the impact of micro-aeration prior AD or aeration on lignocellulosic agricultural waste and their subsequent biogas production. For the former case, Fu et al. [91] injected a small amount of oxygen (5 mL/g VS) in bottles flushed beforehand with nitrogen and containing corn straw as well as anaerobic inoculum. After total depletion of oxygen, substrate received additional inoculum and water, and then AD was carried out. The pretreatment had a notable disruptive effect on the structure of corn straw. Besides, methane yield was 16% higher than untreated group due to improved hydrolysis efficiency (11% higher VS removal). For aeration under solid state, Zhou et al. [92], applied a 20 days pile pretreatment to corn stover that was beneficial to

hemicellulose, cellulose and lignin depolymerization (up to 5.7% lignin degradation for the pile middle layer). Consecutive 5L anaerobic codigestion of the pretreated corn stover with cow dung had a biogas yield enhanced up to 29% (for the middle part of the pile) in comparison to the control. This improvement was due to a higher cellulose and hemicelluloses degradation during the AD, that can be explained by a higher accessibility to these polymers by anaerobic microorganisms thanks to lignin removal. Similarly, the aeration of rice straw showed that cellulose, hemicelluloses and lignin were decreased by 7.5%, 64.5% and 13.6% respectively [93]. Besides, total solids also showed a significant decrease of 63.6% after pretreatment. No biogas measurement was carried out to measure the efficiency of the pretreatment but it can be assumed that such mass loss during pretreatment was detrimental for methane production.

The question of mass loss during aerobic pretreatments is of the utmost importance in order to fully evaluate their impacts on methane yield. Indeed, during aerobic degradation reactions, carbon can be relocated under different forms that are soluble carbonated molecules, aerobic biomass and CO<sub>2</sub>. If for the former two, they can be potentially used as carbon sources during following AD, it is not the case of CO<sub>2</sub>, which is a carbon loss that will directly impact the methane yield. From this point, the main challenge for efficient aerobic pretreatment dedicated to agricultural waste can be raised: finding a trade-off between a better accessibility to hemicelluloses and cellulose and the loss of organic matter. Therefore, pretreatment optimization and measurement of the matter loss under the form of CO<sub>2</sub> are critical to ensure and fully validate a gain in methane yield. Until now, the question of mass loss has often been neglected in literature and it is highly recommended to take it into account in future studies [94].

At full-scale, aerobic pretreatment of agricultural waste can be easily carried out. Simple aeration under the form of stacks, piles, pits or bins are commonly carried out in farms. If no results are published on the subject, it is likely that farmers already tested them for specific lignocellulosic feedstocks. Fully detailed full-scale studies would be interesting to evaluate the impact of short aeration on methane production with respects of the substrate characteristics and following process optimization. If it proves to be efficient and easily implementable, it may generalize this pretreatment method for lignocellulosic feedstocks similarly to ensiling for crop storage. An important drawback of such pretreatment that can arise is its potential long duration (several days) that may necessitate a more complex substrate management on-site.

Another interesting feature of short aeration that can only be observed at pilot or full-scale is organic material temperature rising similarly to a thermophilic composting phase. This process specificity can be used to avoid a high energy requirement for substrate heating in the case of subsequent thermophilic AD. Historically, such principle was applied to solid manure, in the Ducelier-Isman process. A demonstration plant of 15 m³ in France, in 1986–1987 showed that pre-aeration of 24–45 h can increase manure temperature up to 72 °C and thus, reduce the lag phase of the subsequent AD from 6 to 2 days [95]. Nonetheless, this pretreatment application remains confidential on farm plants probably due to the fact that efficient warming of digesters can be ensured by heat surplus from cogeneration or by on-site boiler using biogas excess production in injection plant.

#### 4.1.2. Food waste, OFMSW and municipal solid waste

Application of aerobic pretreatment to food waste or OFMSW can have in a short time an important impact on mass loss of organic matter as these substrates are easily degradable. Brummeler and Koster [96] applied to OFMSW strong and long aeration (12.5 L/h/kg of OFMSW - 10 days) and at the end of the treatment, volatile solids were reduced by 23.5%. Easily degradable parts of the substrate were degraded. Despite an accelerated start-up of the AD, the loss of organic matter decreased the potential methane yield by 40%. Similarly and even with a lower flow and reduced time of aeration (1 L/h/kg of OFMSW -5

(continued on next page)

 Table 3

 Effect of aerobic pretreatment on biogas and methane yield in function of feedstock.

Feedstock	Type of aerobic	Pretreatment conditions	AD scale / AD process	Methane yield (L/g VS)	(S VS)	DM loss taken into	References
	pretreatment		conditions	Control Treat	Treatment	account in Methane yield	
Corn stover (pile middle	Simple aeration	Aeration using 1 m pile set up – density of 5 kg/m3 – ambient	Lab scale 5 L co-digested with	0.315 0.45	*	No	[92]
part)			cow dung (1:1) / 60 days				
Corn straw	Simple aeration	AD conditions in bottles and then pure oxygen was added 5 mL/ gVS - 55 °C - until total oxygen depletion	Lab scale 500 mL batch / 37 °C - 60 days - 130 rpm	0.28 0.325	ഹ	No	[91]
Wheat straw	Fungal pure culture	Column autoclaved - Polyporus brumalis BRFM 985 (1: 166) –	Lab scale 600 mL BMP / 36 °C	0.217 0.28		Yes	[94]
Toll whooten	Transfer out three		7° 70 / "otoosil 10 oloss do 1			Only an landated ( une to	[110]
ı an wneatgrass	rungaı pure cuiture	250 m. Erlenmeyer 65% moisture autociaveu – <i>Fianmuuna</i> velutives – cotton pluss – 28 °C – 4 weeks	Lab scale 2L digester / 3/ C= 23 days = pH 7	0.123 0.169	<i>~</i>	Only calculated (up to 29%)	[113]
Rice straw	Fungal pure culture		Lab scale 500 mL batch / 37 °C	0.12 0.263	3	Only calculated (up to	[114]
		(1:4)- cotton plugs - 28 °C - 20 days	– 45 days – pH neutral			13%)	
Hazel branches	Fungal pure culture	250 mL Erlenmeyer autoclaved – Ceriporiopsis subvermispora	Lab scale 500 mL BMP / 35 °C		S.	Yes	[115]
Sugarcane bagasse	Fimosi niire culture	ATCC 96608 (1:15) – 28 °C – 28 days 250 ml. Frlenmever autoclaved – <i>Pleurotus erynnii</i> (2:1) – 82%	Lah scale 250 ml. RMP / 35 °C	0.230 0.220	o *o	Yes	[116]
	o many and morn t	moisture air – 30 °C – 30 days	– 40 days		,	3	
Corn stover (0.5-4.5 mm)		250 mL Erlenmeyer autoclaved – Pleurotus eryngii (2:1) – 82%	Lab scale 250 mL BMP / 35 °C	0.302 0.360	*0	Yes	
Corn stover (0.5-4.5 mm)		moisture air – 30 °C – 30 days 250 ml. Frlenmever autoclaved – <i>Pleurotus ostreatus</i> (2:1) – 82%	– 40 days Lab scale 250 ml. BMP / 35 °C	0.294* 0.301	1* + 2%1	Yes	
		moisture air – 30 °C – 30 days	- 40 days			3	
Corn Straw	Aerobic consortium from	1 L bottle – retted and composted corn straw (7:1) – 5 mL of $\rm O_2/g$	Lab scale 200 mL batch / 37 °C	0.277 0.343	3	No	[120]
	retted com straw composting	VS <sub>substrate</sub> was injected then bottles were closed – 55 °C – 20 h	- 45 days - 130 rpm	010		Ç.	[122]
orsar purp	Actual transfer control transfer was	surface aeration – 37°C – 9 hours – 135 rpm	- 32 days - 70 rpm			140	[155]
Rice straw	Aerobic consortium from	10L bioreactor – aerobic sludge supernatant (2:1) – moisture	Lab scale BMP type AMPTS /	0.306 0.355	2	No	[123]
	sludge	60% – aeration $30 L/h/kg$ DM – $35$ °C – $2 days$	37 °C – 55 days – 160 rpm				
Cassava residues	Aerobic consortium from soil	250 mL flask – designed hydrolytic consortium (aerobic &	Lab scale 250 mL batch / 55 °C	0.132 0.259	6	No	[124]
Dotted cileae maire	A erobio consortium from	anaerobic bacteria) (1:20) – Loose caps – 55 $C$ – 12 n 300 mT flash – decimed consortium (MC1) – static acrobic	- 10 days - pH 7.2 1 sh scale 600 ml hatch 735 °C	0.173	*_	No	[195]
notice snage mane	compost	conditions – 50°C – 5 days	- 16 days		-		
Sawdust	Aerobic consortium from	5 L Erlenmeyer flasks – designed consortium (1:2) – Cotton	Lab scale 5 L batch / 30 °C	0.09 0.15	10	No	[126]
	rotten sawdust	stoppers – 30 °C – 10 days	-35 days				
Wheat straw	Aerobic consortium from	1.5 L bottle autoclaved - designed hydrolytic consortia (mainly	Lab scale 600 mL BMP / 37 °C	0.137 0.246	9	Only calculated (up to	[127]
	compost and dairy manure	facultative anaerobic bacteria) (1:20) – sealed with plastic film	– 23 days			25%)	
OFMSW	Simple aeration	Open periodically $= 3$ / $C = 3$ days  Column reactor $0.2m^3 = 60 \text{ kg}$ of OFMSW $= \text{airflow 750 L/h}$	1ab scale 61 hatch / 30°c – 6	Methane vield decreased by 40%	eased by 40%	Ves (11n to 23 5% VS)	[96]
		$(20^{\circ}\text{C}) - 10 \text{ days}$	days	man hard amman	6 2	23.5% VS)	
OFMSW	Simple aeration	10L reactor - 6 kg of OFMSW - minimum 6L/h to maintain O2	Lab scale 1 L BMP / 37 °C – 28	0.518 0.43		Yes	[26]
141		content above $12\% - 5$ days	days				
Sugar ricii rw	ompie aeranon	1 L botue – amiow 3 L/11 – 24 II	Eab scale two-stage 1 L BHF- BMP / 35 °C – 4 & 73 days	0.22		INO	[96]
Synthetic MSW	Simple aeration	Column reactor 8 1-3 kg of MSW - periodic airflow with a total	Lab scale SS-AD batch 2.5 kg	Methane yield increased by 192%	eased by 192%	Yes (30% loss)	[66]
Machanically corted	Simula agration	of 30 L/h = 35 °C = 8 days	MSW - 35 °C - 100 days	, 0.44	*	Q.	[100]
OFMSW	ompre actation	alternating with loadings = 55 °C = 3 days	hioreactor/55°C - 10 days				[001]
MSW/Landfill	Simple aeration	Column reactor 0.2m <sup>3</sup> – 18.4 kg of MSW – 12 h/day aeration at	Lab scale column reactor	0.042 0.102	2	No	[101]
		4.1 L/h – 39–42 °C – 35 days	0.2m <sup>3</sup> / 39–42 °C – 270 days				
MSW/Landfill	Simple aeration	Column reactor 0.02m <sup>3</sup> – 2 kg of MSW – 2 h aeration at 9 L/h	Lab scale column reactor	0 0.062	2	No	[102]
Water diluted OFMSW	Fimosi niire culture	rour times a day – 30°C – 50°days 250 ml. flack – <i>Trichoderma viride</i> (1·7) – natural aeration	U.Uzm² / 3U C – 8U days Lab scale seriim hotrile hatch /	Methane vield increased by 400%	eased by 400%	Ŋ	[118]
(1:5)	o many and morn t	through cotton stoppers – 25 °C – 4 days	52 °C – 18 days		2001		
OFMSW	Compost addition	1 L reactor let open ensuring surface aeration - Mature compost	Lab scale 5 L fed-batch / 55 °C	Methane yield increased by	eased by	No	[119]
		(1:40) – room temperature – 24 h	– 15 days SRT – pH 6.5-8	141.6%			

References

DM loss taken into account in Methane yield

Methane yield (L/g VS)

AD scale / AD process

106

[107] [108]

Methane yield increased by 23%

Lab scale 300 mL BMP / 35 °C – 25 days – 150 rpm Lab scale 7 L fed-batch / 35 °C

0.255

- 19 days - pH not controlled

0.4

0.180

Lab scale 5 L digester / 35 °C –

23 days - pH 7

	Pretreatment conditions	$5L$ bioreactor –72 L/h continuous airflow – $100\%$ moisture – $35^{\circ}C$ – $2$ days	500 mL flask – micro aerobic conditions with open flask and rotating stirrers (12 mm) – $55^\circ$ C – $12^\circ$ h	2 L bioreactor – 300 L/h airflow – 55 °C – 24 h
	Type of aerobic pretreatment	Simple aeration	Simple aeration	Simple aeration
Table 3 (continued)	Feedstock	WAS & primary sludge (40/60)	WAS	WAS & primary sludge

Methane yield: \* Biogas yield (L/g VS) is given instead of methane yield. days), another study demonstrated that, due to aerobic degradation, methane production from OFMSW was 18% lower than without aerobic pretreatment [97]. On carbohydrate-rich food waste, Rafieenia et al. [98] applied even lower aeration and shorter time than previous studies (5 L/h - 24 h). If mass loss was not calculated in this article, it can be noticed that methane yield after 77 days two-stage AD was not affected by the pretreatment despite a quicker methane production. Finally, on synthetic MSW, a short-term pre-aeration was carried out before solid-state AD (SS-AD) and carbon balance was followed all along the experiments [99]. Here again, carbon loss under the form of  $\rm CO_2$  after 8 days of pretreatment represented 30% of the total carbon. Methane yield was highly increased (by 192% in comparison to the control) but such impressive result was due to total and initial inhibition of the AD without pre-aeration.

From lab scale experiments, it can be drawn some conclusions about application of aerobic pretreatments to OFMSW, food waste and MSW. Accelerated start-up of the AD observed in the literature was due to two effects of aeration: removal of inhibition from excess of easy degradable carbon such as VFA and degradation of proteins that release ammonia acting as a buffer. These two phenomena are both limiting acidogenesis risk and thus initial AD inhibition [98,99]. But this removal negatively impacts the final methane potential since easily accessible carbon is lost under the form of CO<sub>2</sub>. Thus, it can be hypothesized that, for these kinds of carbohydrates-rich substrates, the quick loss of large amount of easily degradable organic matter will hardly be compensated by an increase in matter accessibility. Therefore, results on methane yield are likely to be negative even with process optimization.

What can emerge from above is that to enhance methane yield of bio-waste, two-stage digestion can be more profitable than an aerobic pretreatment. Indeed, two-stage digestion is also accelerating AD start-up without any loss of potential methane due to aerobic respiration. Despite that, several full-scale technologies have been developed using aerobic pretreatment. Their main purposes are different from methane yield enhancement. It can be distinguished two different goals of these full-scale technologies.

As for the Ducellier-Isman process, bio-waste can be aerated shortly in order to use generated heat for the subsequent SS-AD. Such technology already exists at full-scale under the trademark Smartferm® dry AD technology developed by Zero Waste Energy LLC (Lafayette, the USA). In garage set-up, 12 h forced aeration is applied to reach an OFMSW temperature of 50-55 °C and then, AD is started. This technology has been used for instance since 2014 in a 90, 000 t/year organic waste facility own by Zero Waste Energy Development Co. (San José, the USA). Similarly, a company called Anaeco (Bentley, Australia) has developed a system named DICOM™ bioconversion facility where a high biogas production for such substrates (0.44 m<sup>3</sup>/kg VS) can be reached in a short time digestion of 15 days [100]. In a unique reactor, OFMSW is first subject to efficient aeration thanks to a patented pressure aeration method, which is applied over 4 days in order to increase temperature up to 55 °C. Then, AD is carried out in batch mode for 12 days. After AD, a post aeration step is carried out in the same digester for 5 days, which permits to obtain in a short duration (21 days) and reduced space (only one digester) a stabilized OFMSW digestate that can be directly used as organic fertilizer. A full-scale plant of 60, 000 t/ year MSW, based on sorting and this technology was built in 2014 in Perth (Australia). Unfortunately, the first years of exploitation were not successful and the plant is currently stopped.

A second aim is to apply aerobic conditions in order to sort, reduce and homogenize MSW. A technology called MYT™, developed by "ZAK" (Zweckverband Abfallbehandlung Kahlenberg, a German waste managing company based in Kahlenberg), is centrally based on an aerobic mixed process. After a mechanical sorting, MSW are sent in plug-flow type reactors where they are mixed with water in aerobic conditions between 2 and 3 days at 35 °C. Subsequent solid press permits to recover a homogenous liquid rich in organic matter that can be used in AD. Liquid AD is easier to operate and volumes are reduced in

comparison to SS-AD. Here, aeration and mixing permit to quickly make soluble organic matter and thus extract a large part of the potential methane from MSW that can be then easily digested. The first plant based on this technology is a 100, 000 t/year facility. It has been running in Kahlenberg (Germany) with success since 2006. As technology robustness and efficiency have been shown, it was licensed and now starts to spread internationally. For instance, at the end of 2016, Tiru, a business unit of EDF (Paris, France) opened, in the north of France, a new 100, 000 t/year waste treatment facility called TVME, which is based on this technology.

In the case of landfill MSW disposal, several types of bioreactors exist: anaerobic landfill, aerobic landfill and hybrid landfill. The latter is in the scope of the present review when aerobic conditions are applied first and then, anaerobic conditions are set up. Several lab scale studies, applying this protocol, have been carried out in order to produce faster and more methane by reducing VFA concentration and increasing pH. Recently, Cossu et al. [101] applied to MSW an alternated aeration (12 h/day) for 35 days and then AD was carried out for 270 days. AD lag phase was reduced by three as methane production started two weeks after the end of the pretreatment (50 days in total), whereas 150 days were necessary in direct AD. Besides, methane yield was increased by 142% but mass loss after aeration was not taken into account. Likewise, sequential aeration (2 h 4 times a day) of MSW for 50 days permitted to reach after a subsequent 80 days AD, a methane yield of 62 L/kg VS whereas even after 130d of anaerobic conditions, untreated MSW did not produce any methane due to acidogenesis [102]. Interestingly, in another similar experimental set-up, aeration was controlled according to oxygen consumption in order to manage mass loss during aeration. Progressive decrease in aeration permitted to reach a higher methane yield of 75 L/kg VS probably due to a lower mass loss. If methane yield was increased in both studies, applications at full-scale of this technology are unlikely due to several points. First, hybrid landfill reactors at full-scale are still very seldom due to higher operational cost and complexity. Secondly, at full-scale homogenous pressurized air injection in bioreactors is difficult and costly, hampering both good technical and financial efficiencies. Finally, concerning methane yield, if benefits on the short term are certain as AD start up at full-scale will be faster and methane production higher, it remains unclear on the long term. Indeed, landfills are operated over several years (up to 30 years), duration that lab scale cannot reproduce. Initial potential mass loss during aeration could diminish long term methane production of the landfill despite a quicker start-up. To conclude, preaeration and more generally combination of aerobic and anaerobic conditions is of interest in landfills to enhance site remediation [103] or shorter lifetime operation but its positive impact on long-term methane yield remains highly uncertain.

#### 4.1.3. Sludge

Aeration pretreatment was mainly tested at lab scale to enhance WAS degradability via autohydrolysis. Combination of high temperature (up to 70 °C) and oxygen stimulates WAS endogenous hydrolytic microorganisms that release their enzymes (notably proteases) [104]. These enzymes will subsequently enhance sludge solubilization and it is accepted that materials that do not degrade under anaerobic conditions can, in this case, be degraded [105]. Besides, unlike to previous feed-stocks, mass loss due to microbial respiration is not considered here as an issue as sludge volume reduction is also one of the pretreatment aims. In the literature, two set-ups to carry out sludge aeration can be distinguished: either directly in the digester under the form of aerobic conditions before AD start-up, either in a pretreatment step where (hyper-)thermophilic aerobic conditions are applied before mesophilic AD.

To illustrate the former set-up, in a same digester, a mix of WAS and primary sludge (40/60) was subject to an optimized 48 h pre-aeration with a  $72\,\text{L/h}$  airflow and mesophilic conditions [106]. Methane yield of the subsequent AD was enhanced by 210% in comparison to the

blank. This was notably due to an 82% increase in soluble COD thanks both to pre-aeration and to high content of primary sludge. Concerning the latter set-up, trials using separated thermophilic aerobic reactors are conceptually close to temperature phased anaerobic digestion (TPAD) except that aerobic conditions are applied in the thermophilic reactor. Thus, the process is generally called in the literature, thermophilic aerobic digestion coupled with mesophilic anaerobic digestion (TAD-MAD). Carvajal et al. [107] applied such treatment to WAS for 12 h at lab scale. A 39% increase in organic matter solubilization was obtained and methane yield during the subsequent BMP tests was 23% higher. Jang et al. [108] also obtained positive results at lab scale by using this method on a mix of WAS and primary sludge. In this study. TAD consisted in a 1-day HRT, at 55 °C and with a forced aeration at 300 L/hour that proved to enhance both enzymatic activity (especially protease) and sludge biodegradability. After 19 days, MAD displayed a 13% increase in methane yield and a methane production rate 42% higher in comparison to the control. Recently, a comparison study between TAD, alkali as well as ultrasonic pretreatments before mesophilic sludge AD showed that TAD performed better than the two other pretreatments [109]. Besides, it can be mentioned that Dumas et al. [110] obtained a 30% higher COD degradation of WAS by using a system composed of a mesophilic anaerobic digestion reactor which was coupled in parallel with a reactor under microaerobic conditions at 65 °C (here called MAD-TAR). However, in this case, aerobic conditions were applied all along AD in the separated reactor via recirculation system and it cannot be really considered as a pretreatment but more as an inner treatment. Similarly, the recent use by Rennuit et al. [111] of TAD as inter-stage treatment of WAS appears to be promising, slightly increasing methane yield and substantially improving COD removal.

Such pretreatment may be interesting at full-scale as it requires less energy than a high temperature CambiTHP™ (Cambi) or Biothelys™ (Veolia) processes. At full-scale, a process to reduce sludge amount called Biolysis® E, based on endogenous enzyme stimulation via aerobic and thermophilic conditions, was commercialized by Ondeo-Degremont (Suez) from early 2000 [105]. However, no full-scale examples of this technology exist and it is not available on the market any more. Despite that, technologies inspired by GE Monsal process but with aerobic conditions may be developed in the future.

#### 4.2. Aerobic pretreatment using pure culture of microorganisms

Pure culture of hydrolytic microorganisms can be used in addition to aerobic conditions in order to favour accessibility increase over mass loss. White rot fungi (WRF) in particular have drawn a lot of interest due to their ability to specifically target lignin polymers, consequently an abundant literature exists on the topic [80,112]. A few recent and selected studies using pure cultures and measuring mass loss are given in Table 3 for agricultural waste and OFMSW.

#### 4.2.1. Agricultural waste

WRF strains that are using lignin for their growth instead of cellulose and hemicelluloses are of high interest to decrease the recalcitrance of lignocellulosic biomass while increasing the hydrolysis of carbohydrates. Therefore, an increase in biogas production of different substrates was found in several lab scale studies following WRF pretreatment. Lalak et al. [113] applied, after sterilization of tall wheat grass, a 4-week pretreatment using the WRF, Flammulina velupites. This pretreatment increased by 134% the methane yield of the subsequent AD. However, mass loss during the pretreatment was around 29% due to degradation of cellulose (20.5%), hemicelluloses (29%) and lignin (35.4%). Despite a higher degradation in lignin than cellulose and hemicelluloses, as mass loss is not taken account in calculation, it is unclear if the final methane yield is really improved. Similarly, Mustafa et al. [114] obtained a 120% increase in methane yield after 20 days pretreatment using the WRF Pleurotus ostreatus. Lignin degradation was still higher (33%) than cellulose (7%) and hemicelluloses (16%),

resulting in higher structural decomposition. But mass loss, that was lower in this study (around 11%), was not taken into account leading again to an uncertain improvement. When mass loss was taken into account results on methane yield were less impressive and even negative. For instance, Rouches et al. [94] after Basidiomycetes strain screening, selected Polyporus brumalis BRFM 985 to pretreat wheat straw. Non-optimized 21-day pretreatment, led to an increase of 21% in methane yield with mass loss (14%) taken into account this time. Alike, a recent study including mass loss (10%) in calculations, displayed a 60% increase in methane yield of hazel branches after a 28-day pretreatment using Ceriporiopsis subvermispora ATCC 96608 [115]. However, identical pretreatment on acacia branches, barley straw and bagasse did not show any effect and even at some point a decrease in methane yield. For instance, bagasse had its methane yield lowered by 5%. These results can be explained both by the incapacity of the strain to efficiently degrade lignin for this substrate and by the too high mass loss. Similar results were observed on corn stover, where strains and even chopped size of the straw had an impact on pretreatment efficiency [116]. Among the three strains tested and two chopped size conditions only a treatment with Pleurotus eryngii at small size stover (between 0.5 and 4.5 mm) led to a 19% increase in biogas yield, all the others had lower or unchanged yields.

Once mass loss is taken into account, it is clear that positive impact of WRF pretreatment on methane yield is uncertain. Result is highly depending on feedstock, cultivation parameters (temperature, moisture and duration), nutritional supplementation and fungal strain [80,115,116]. Therefore, pretreatment design and fine optimization are required in order to obtain an increase in methane yield. Besides, one of the main drawbacks of such pretreatment apart its relative long duration is the necessity to carry out sterilization of the pretreated substrates. It is required both to ensure efficient colonization of the substrate by the selected microorganisms and to avoid consumption by endogenous microorganisms of the release sugars during pretreatment. At full-scale, sterilization is highly expensive, energy consuming and difficult to put into practice. For this reason, a full-scale application of such pretreatment does not exist yet. A possible solution to this problem would be to practice inoculum propagation, inspired by back-slopping methods of food industry. It consists of the sterilization and colonization of a small amount of substrate that is used to colonize and treat a larger amount of unsterile identical substrate. Then, this colonized material can be used as inoculum for a larger mass of the same unsterile substrate and so on and so forth. This solution was recently explored and promising results were obtained using miscanthus as feedstock [117].

#### 4.2.2. Organic fraction of municipal solid waste

In the case of OFMSW, literature is very scarce. This is probably due, both to a low interest in hydrolytic pretreatment as OFMSW is already relatively easily biodegradable and to the fact that sterilization of OFMSW is economically unrealistic as for agricultural waste. Wagner et al. [118] applied a different strategy than sterilization at lab scale to pretreat OFMSW with *Trichoderma viride*. OFMSW was five times diluted and then waste suspension was inoculated with *T. viride* at a ratio of 1:20 v/v. Aerobic conditions were applied for 4 days at 25 °C and then AD was carried out for 18 days. Mass loss was not measured. Organic acid concentrations were increased during pretreatment leading to a 400% increase in methane yield. Despite this strong increase, OFMSW diluting and subsequent liquid AD (instead of SS-AD) can be seen as important drawbacks for scale up. Here again, no full-scale application exists as it is not economically attractive.

#### 4.3. Aerobic pretreatment using consortia

The use of consortia or mixed cultures is a promising alternative to pure cultures due to several advantages: (1) greater quantity and variety of enzymes are produced that can act synergistically and thus enhance hydrolysis efficiency; (2) more tolerance with environment changes (pH, temperature) causing a gain in process robustness; (3) capacity to thrive and develop in unsterilized environment [16]. For these reasons, a large number of studies using consortia were carried out at lab scale. A selection is given in Table 3 where solid inoculum addition before the aerobic step can be distinguished from liquid inoculum addition.

#### 4.3.1. Consortium addition from solid inoculum

Compost is a solid material rich in microorganisms with hydrolytic enzymes that are able to efficiently solubilize hardly biodegradable organic matter. Therefore, it can be considered as an interesting inoculum to enhance hydrolyse efficiency of an aerobic pretreatment step. At lab scale, such strategy was applied on OFMSW by Fdez-Güelfo et al. [119]. Mature compost made of OFMSW and digested sludge was mixed with fresh OFMSW at a ratio of 2.5% v/v. Then, the mixture was let for 24 h at room temperature with natural aeration. Subsequently a thermophilic 5L fed-batch SS-AD was performed. The methane production was increased by 141% in comparison to the control and the final organic matter removal was also improved (e.g. VS removal was increased by 35%). These results demonstrate that a small addition of compost in aerobic conditions can efficiently hydrolyse and solubilize OFMSW in a short time. An alternative method was recently tested on corn straw, where Thermophilic Microaerobic Pretreatment (TMP) was combined with retted and composted corn straw as aerobic inoculum [120]. Twenty hours pretreatment at 55 °C with 5 mg of O2 per VS of substrate initially injected led to a 21% increase in methane yield in comparison to the control. However, in this article, inoculum was mixed with corn straw at a high ratio of 700% w/w. Such amount of inoculum would be unrealistic at higher scale. According to that, the first feature of a promising aerobic solid inoculum would be to have a positive impact despite a small or reasonable quantity mixed with feedstock, such as for instance the compost used by Fdez-Güelfo et al. [119].

At full-scale, a solution based on compost addition exists for agricultural waste under the trademark Bacteriometha  $^{\text{\tiny M}}$  sold by a French company called Sobac (Lioujas, France). It is an additive made of compost rich in hydrolytic microorganisms. It has to be mixed with substrates (such as cow manure) between 3 and 15 days before AD in a ratio of 0.5–1 kg/m³ of substrate. Mixture remains in aerobic conditions such as stacks, piles or open tanks. According to Sobac, biogas yield can be increased between 10% and 30% and trials on two full-scale plants displayed higher energy production and an easier mixing in the digester that ensured economic interest despite the additive cost [121].

In addition to compost, wood can also be used as inoculum especially for fungi, but this research track remains unexplored. Fungal mash is another option as already seen before [38].

#### 4.3.2. Consortium addition from liquid inoculum

Other types of aerobic consortia under liquid form have been used to pretreat lignocellulosic feedstocks. They can be aerobic sludge or a solution containing a designed or isolated consortium. In the former case, Mshandete et al. [122] used an activated sludge mixed culture as inoculum for an aerobic pretreatment of sisal pulp. Inoculum was brought in large quantity to the system in comparison to sisal pulp with a ratio of 14:1 v/v. After optimization, surface aeration for 9 h at 37 °C appeared to be the most efficient as longer duration did not lead to higher methane yield. After AD, methane yield was enhanced by 26% in comparison to the control. High VFA concentration and high activity of hydrolytic enzymes were obtained at the end of the short pretreatment that can explain this positive result. Similarly, Zhou et al. [123] mixed rice straw with aerobic sludge supernatant and applied an optimized 2 days continuous aeration (30 L/h/kg DM) pretreatment. Subsequent BMP showed a 16% increase in methane yield compared to the control.

Several hydrolytic consortia have been constructed and tested at lab scale. It can be noticed that the method, often used to select efficient hydrolytic microorganisms, is based on the speed at which a filter paper is degraded; the faster the degradation is, the higher is the hydrolytic capacity of the isolated consortium. Zhang et al. [124] designed from soil samples a microbial consortium with high cellulose degradation ability. Then, the consortium was applied to cassava residues for 12 h in aerobic conditions at 55 °C. Subsequent AD of the cassava residues displayed a 97% higher methane yield than the control. Another hydrolytic consortium which is called MC1 was constructed from compost in 2002 and since then, has been regularly used to pretreat agricultural waste. For instance, it was recently used in the aerobic 5-day pretreatment of non-sterile rotten silage maize straw [125]. Following that, biogas vield was enhanced by 75% compared to the control. Rotten sawdust was used to screen microorganisms displaying both high cellulolytic and ligninolytic activities [126]. After isolation and identification, selected microorganisms were gathered to form a consortium displaying high lignocellulosic degradation activity. Its application for 10 days as a pretreatment to sawdust improved methane yield of the subsequent AD by 73%. Finally, Zhong et al. [127] designed a microbial hydrolytic consortium from compost and cow manure. It was used to pretreat wheat straw for 3 days in aerobic conditions and led to a methane yield 80% higher than control in BMP tests.

Enhancements of methane yield between 16% and 97% are reported herein above. However, these very positive results have to be put into perspectives. First, mass loss was not taken into account in calculations to obtain these results, even when it was measured. It can be assumed that for short duration (hours) it remains too low to negatively impact results. But when it comes to several days, it is likely that the calculated increase in methane yield will be lower; for instance, 3 days already led to a 25% mass loss under CO<sub>2</sub> form in the case of Zhong et al. [127]. Secondly, mainly BMP or small batches were performed, which limits lab scale evaluation of such pretreatment as it will probably be used in continuous or fed-batch mode at full-scale. Thirdly, to obtain positive results, this pretreatment has to be strongly optimized. CO<sub>2</sub> emission, VFA concentration, s-COD and in particular lignin degradation are criteria that can be used to determine the optimum duration beyond which enhancement will be lower or even non-existent.

At full-scale, a semi-aerobic hydrolytic pretreatment was developed by Bionova Biogas GmbH (Wernsdorf, Germany) for liquid CSTR agricultural biogas plants. It consists in a closed vessel, where air is injected uniformly through feedstocks in a liquid state. HRT is short (order of days in function of the plant) and a liquid aerobic inoculum is added once at operational start. It is dedicated to lignocellulose rich substrates to enhance their degradation before to enter the digester. According to the supplier up to 20% extra biogas can be obtained. Aeration is performed here using a compressor which is energy consuming. This pretreatment is currently implanted mainly in Germany and France in more than ten plants.

#### 4.4. Assessment of aerobic pretreatments

Aerobic pretreatments are interesting for feedstocks that are difficult to degrade such as lignocellulosic ones or WAS. For these substrates, mass loss during aeration can be compensated by an increase in accessibility or matter degradability. But this is almost never happening for easy to degrade feedstocks, limiting therefore aerobic pretreatments interest in this case. Consortia and simple aeration are the most promising due to an easier and cheaper implementation at full-scale in comparison to pure cultures. Finally, few products based on aerobic pretreatments already exist for agricultural feedstocks but they are not yet widespread. Simple aeration is also likely to be already used in some agricultural plants but feedback on such trials are from our knowledge unknown.

#### 5. Combination of pretreatments

As each type of pretreatment has its own functioning mode and as

pretreatment effects are often complementary, an option to obtain even more efficient pretreatment is to combine them [128]. However, it is important to underline that if very interesting results can be obtained at lab scale, additional costs due to combination may be economically unacceptable at full-scale. Therefore, fine economic analysis comparing gain and cost should be carried out before any scale up. Some recent examples of literature on this topic will be given herein below and gathered in Table 4. Additionally, Sindhu et al. [129] reported earlier examples of biological pretreatment combinations for lignocellulosic feedstocks.

Biological pretreatments can be combined to keep low energy demand features. Thomsen et al. [130] applied first an ensiling step to wheat straw with Lactobacillus buchneri followed by a washing step and finally a WRF treatment with Ceriporiopsis Subvermispora before AD in BMP tests. Here, ensiling and washing were used as a conditioning method that eliminates waxes, fats and toxic compounds, thus facilitating subsequent feedstock colonization by C. Subvermispora. Only a minor 5% mass loss was observed after the combined pretreatment but it was not taken into account in calculation. If methane production rate was faster, methane yield did not significantly increase after this combined pretreatment. Here, the use of ligninolytic consortia instead of pure culture might be an interesting alternative to obtain an increase in methane yield. Another example at lab scale of combination of biological pretreatments was carried out on agave bagasse [131]. After an enzymatic treatment, hydrolysate was anaerobically digested in a twostage process. In comparison to a single-stage process, energy recovery obtained from the enzymatic hydrolysate was 3.3 fold higher due to hydrogen production and no inhibition during methanogenesis step (neither VFA nor LCFA accumulation). This result is particularly interesting as it shows potential advantages of using two-stage AD for lignocellulosic substrates after their hydrolysis.

Biological pretreatments can also be combined with mechanical, chemical or thermal pretreatments to ensure higher methane yields. Wheat straw grinding followed by a 180 days ensiling showed a 36% increase in methane yield whereas grinding only led to a 26% increase [132]. Nevertheless this strong increase has to be nuanced as mass loss during ensiling was not taken into account in this study. Mustafa et al. [133] applied on rice straw a 30-day WRF pretreatment using Pleurotus ostreatus that was followed by a milling step. Despite a 12% mass loss that was not taken into account in subsequent calculations, the methane yield was increased. Indeed, 500 mL SS-AD displayed a methane yield 165% higher in comparison to the control. Alkaline treatments were applied in combination with enzyme or WRF pretreatments. Alexandropoulou et al. [134] applied the WRF Abortiporus biennis for 30 days to willow sawdust and then carried out a 24-h NaOH alkaline treatment. Here, mass loss during WRF was taken into account and despite a 17% DM loss, subsequent BMP displayed a 115% increase in methane yield due to high lignin removal during pretreatment. Similarly, NaOH pretreatment was carried out on miscanthus followed this time by an enzymatic pretreatment with cellulase and cellobiase [135]. Delignification and higher accessibility to cellulose were observed again, as well as, a 94% methane yield enhancement in comparison to sole enzymatic pretreatment. Finally, thermal pretreatment was applied before twostage AD at lab scale on sugarcane bagasse [136] or on MSW [137]. Autohydrolysis of bagasse was carried out at 182 °C for 40 min that released sugars but also inhibitory phenols and furans. Here, the interest of the first AD stage was to biodetoxify the hydrolysate, as acidogenic microorganisms appeared to be able to decrease the concentration of toxic compounds for methanogenic microorganisms. This led to a higher energy yield in comparison to a one-stage process, as methanogenesis step was less inhibited. In the later paper, Li et al. applied a hydrothermal pretreatment (HTP) to MSW at 170 °C for 60 min. Subsequent, hydrolysis step of the AD had here the advantage to cope with recalcitrant molecules formed during thermal pretreatment (here melanoidins) and thus enhance methanogenesis step. In comparison to a single step AD of MSW and by taking into account the

**Table 4**Different combinations of biological pretreatment strategies adopted in function of feedstocks.

Feedstock	Strategy*	Results	References
Wheat straw	Ensiling/washing + WRF	No significant increase in methane yield / Increase in methane production rate	[130]
Agave bagasse	Enzyme + 2-stage AD	3.3 fold higher energy recovery vs. Enzyme + 1-step AD	[131]
Wheat straw	Grinding + ensiling	36% increase in methane yield vs. untreated	[132]
Rice Straw	WRF + milling	165% increase in methane yield vs. untreated	[133]
Willow Sawdust	WRF + alkaline (NaOH)	115% increase in methane yield vs. untreated	[134]
Miscanthus	Alkaline (NaOH) + enzyme	94% increase in methane yield vs. enzyme treatment	[135]
Sugarcane bagasse	Thermal + 2-stage AD	14 times higher methane yield vs. thermal + 1 step AD	[136]
MSW	HTP + 2-stage AD	Higher mass reduction and 97.4% increase in energy output (HTP energy comprised) vs. 1 step AD	[137]
Miscanthus/Hemp straw	Thermal/chemical "like" + enzyme (laccase)	No significant increase in methane yield $\/$ Increase in methane production rate (reduced inhibition)	[138]

<sup>\*</sup> Treatments are given by order of applications.

energy needed for HTP, the net energy output was almost two-fold increased. This was due to a combination of a slightly higher methane yield and especially a reduced amount of waste to dry and handle after AD. Likewise a detoxifying role was recently identified by Schroyen et al. [138], where laccase enzymes were used to remove high concentration of AD inhibiting phenolic compounds. A 24 h laccase treatment step was applied to miscanthus and hemp straw supplemented with different concentrations of p-Coumaric acid (up to 2000 mg/L), that simulated phenolic compounds that could be released following a harsh pretreatment (thermal or chemical). Interestingly, laccases were able to reduce p-Coumaric acid concentration and therefore improve initial hydrolysis rate of subsequent AD.

It has been shown that the use of biological pretreatments in combination with other pretreatments displays very interesting and promising results at lab scale. However, from our knowledge, they are not yet applied at full-scale. Besides, combination of pretreatments is almost always applied to lignocellulosic substrates in literature. Work on WAS or MSW may also be promising.

### 6. Guidelines on the selection of a biological pretreatment for a given feedstock

Biological pretreatments, as for other types of pretreatment in general, are feedstock dependent. In function of the feedstock features, their impact can be very variable, ranging from positive to negative effects on the subsequent AD. Therefore, selection of a biological pretreatment for a given feedstock has to be done cautiously. Recommendations will mainly be based on Table 5 that is gathering the effect of each pretreatment on methane yield in function of the feedstock. Besides, even if they were not reviewed in detail in this article, it can be underlined that additional potential positive effects, different from methane yield enhancement, can be obtained: an increase AD rate, the use of new or cheaper substrates, limitations in AD inhibitors concentration and reduction in viscosity as well as in the energy requirement for plant operations (mixing, floating layer). These additional specificities are given for each biological pretreatment in Table 6. As already mentioned, a full economic assessment of a biological pretreatment for a given feedstock has to take into account not only methane yield increase but also these additional advantages that can be observed at full-scale.

Enzymatic pretreatments can be interesting in the case of agricultural waste, MSW landfill and sludge. Agricultural waste already have full-scale commercialized carbohydrases cocktails displaying positive effects on methane yield. Application of carbohydrases and lignin-modifying enzymes in landfill lixiviate recirculation system is another promising topic still at research scale. For sludge digestion, application of enzymes displayed positive results at lab scale (notably proteases and carbohydrases). However their short lifespan after addition strongly hampers their full-scale application. For bio-waste that can be considered as easy to degrade substrates, enzyme application

appears not to necessarily enhance methane yield but often accelerates hydrolyse kinetic that can generate acidification problems. Therefore, enzyme application for bio-waste is not recommended and commercial dedicated products do not exist.

Anaerobic pretreatments under the form of two-stage process are mainly recommended for bio-waste and WAS. For these feedstocks, fullscale technologies exist and are displaying higher methane yield than single stage process. In the case of bio-waste, this is due to a better control of the acidification risk, while in the case of WAS, this is due to autohydrolysis via endogenous enzyme stimulation. At lab scale, TPAD was identified as the most promising way to proceed due to additional benefits coming from thermophilic conditions. Full-scale processes may appear in the near future. Concerning lignocellulosic substrates, a basic two-stage process does not have much interest as anaerobic conditions will not favour lignocellulosic degradation. Enhanced two-stage process, notably via specific hydrolytic consortia, was identified as a promising anaerobic way to enhance methane yield at lab scale of such feedstock. Ensiling for certain lignocellulosic feedstocks and with optimized conditions also appears as a way to slightly enhance biogas production.

Aerobic pretreatments are mainly recommended for feedstocks in the case where either gain in accessibility will be superior to mass loss or either mass loss is not considered as a problem as waste volume reduction is sought. Following these two observations, it can be applied to lignocellulosic substrates and WAS. The former has already several full-scale applications. Indeed, it is relatively easy to put into practice and very positive results on methane yield can be obtained after optimization to limit mass loss, as lignin and crystalline cellulose are degraded. For the latter, aeration is stimulating endogenous enzymes that enhance sludge solubilization. Full-scale application does not currently exist on the market. For landfill MSW, aerobic set-up does not clearly enhance methane yield and full-scale applications remain scarce. Finally, in the case of bio-waste or easily biodegradable agricultural feedstocks, the mass loss will not be compensated by a gain in accessibility. Therefore, simple aeration pretreatment will definitely not be recommended. Full-scale applications exist but they have other aims such as for instance waste self-heating. Nevertheless, it can be noted that compost addition combined with aerobic treatment enhanced OFMSW methane yield. Therefore, aerobic consortium addition remains an option to explore for OFMSW due to cardboard, paper and other recalcitrant components that are making this feedstock more difficult to degrade than FW and easily biodegradable agricultural substrates.

In Table 5, it is also indicated that some areas remain uncovered by research. In some cases, research will not be applicable such as for instance ensiling for bio-waste. However, other areas were identified as potentially applicable. Among them, distinction was made between the ones in which positive results on the methane yield can be expected and the ones that potentially will provide negative results on the methane yield. They can be used as options for future research and development

**Table 5**Biological pretreatments: Effect on biogas and methane yield and *existing full-scale technology* in function of the feedstock.

		Agricultu	ral waste			MSW	Sludge
		Lignocellulose rich	Easily biodegradable	OFMSW	FW	Landfill	(WAS)
	Protease			-/+	-/+		++
S	Lipase	/		-/+	-/+		-/+
Enzymes	Carbohydrase	++ Methaplus® – Optimash®	+ Methaplus® – Optimash®	-/+	-/+	++	++
	Lignin-modifying	++				++	
robic	Two-Stage	-/+	+ Bioplex process	+ Gicon® - Biomet®	+ Gicon® - Biomet®	/	++ Monsal™ ADT
Anaerobic	Enhanced two-stage	+				/	
	Ensiling	+		/	/	/	/
	Simple aeration	++ Pile composting		-		-/+	++
Aerobic	Pure culture	+++		+		/	/
Aer	Consortia (solid or liquid)	+++ Methalyse® - Bacteriometha®		+		/	

+/++/+++: Lab or pilot scale positive results -/--: Lab scale negative results : Positive results with existing full-scale technologies : Unexplored field with expected positive results : Unexplored field with expected negative results : Not applicable

**Table 6**General features of biological pretreatments.

Type of biological pretreatment	Enzyme	Anaerobic	Aerobic
Advantages	Low energy demand	Low energy demand	Low energy demand
	Fast process	No matter loss	Potentially low-cost
	No matter loss	Limit acidogenesis risk	Lignin breakdown
	Scalability	Potential H <sub>2</sub> production	Scalability
	Lignin breakdown	(Pathogens removal)	
	Technology readily available	Technology readily available	
	Application versatility		
Disadvantages	Current high cost	No lignin breakdown	Matter loss
-	Enzyme lifespan	Cost for second digester	Relatively high exposure time
	Continuous addition needed	Higher complexity	Energy if forced aeration
	Moderate activity/Inhibition		Sterilization can be required
	•		Process control
			Developing technology
Other potential positive effects than methane yield increase	Increase AD rate	Increase AD rate	Increase AD rate
	Use of new/local feedstock	Limit AD inhibitors	Use of new/local feedstock
	Reduce energy need (mixing)		Reduce energy need (mixing)
Technological readiness level (from 1 to 9)	7–8	7-8 for two-stage	4–6

projects in this field.

#### 7. General assessment on biological pretreatments

To assess biological pretreatments, the following criteria can be given for a successful pretreatment [139]: (1) low energy input; (2) avoid carbohydrates loss; (3) use minimal and inexpensive chemicals and/or water; (4) avoid expensive pretreatment devices; (5) avoid AD inhibitors formation; (6) avoid the need for waste disposal; (7) be flexible with respect to the feedstock; (8) be environmentally friendly; (9) be cost-effective. These can be confronted with advantages and disadvantages of each biological pretreatment gathered in Table 6. All the biological pretreatments are meeting conditions (1), (3), (6) and (8). Enzymatic pretreatments also meet conditions (2) and (4) but they

can generate AD inhibitors especially on lignocellulosic substrates with lignin-modifying enzymes (such as phenols), they have a low flexibility due to the required process optimization and lastly, enzymes high prices are limiting their cost effectiveness. Anaerobic pretreatments with two-stage process are meeting conditions (2), (5) and (7). Nevertheless, they require expensive additional reactor(s) that is impacting negatively cost effectiveness. Finally, aerobic pretreatments can meet conditions (5) and (9). However, the required fine-tuning for a given substrate limits the flexibility of the method. Additionally, carbohydrates loss is difficult to avoid.

Biological pretreatments can also be assessed through the technological readiness level (TRL) scale that goes from 1 (idea) to 9 (full-scale technology widely used). Enzymes and two-stage process are relatively mature technologies, displaying positive results and available at full-

scale under the form of several commercialized products. However, their current costs hamper their wide adoption at full-scale. Therefore, their TRL is at 7–8. Aerobic pretreatments, despite existing full-scale technologies, are rather at a development stage. Process optimization is still required for a given substrate to ensure a low mass loss. Besides, in the case of aerobic consortia use, their selection and production are still made at lab scale. Thus, it can be considered that TRL for these pretreatments is comprised between 4 and 6.

From this general assessment, it is clear that biological pretreatments have strong advantages that deserve to work further on the reduction of their current drawbacks. By doing so, cost effectiveness of these technologies may be enhanced and full-scale applications may spread more widely.

#### 8. Conclusions

Biological pretreatments from lab to full-scale were described and evaluated in this review for the following feedstocks: agricultural waste, bio-waste, MSW and sludge. It appears that for a given feedstock, biological pretreatment has to be carefully selected. Here, as for pretreatments in general, there is no standard biological solution for all feedstocks. Therefore, selection guidelines were provided in this review. When appropriate biological pretreatment has been chosen for a given feedstock and its application optimized, subsequent AD can be enhanced through notably its methane yield and AD rate. Besides, their low energy demand feature is another major advantage. If, full-scale applications are not yet widely spread due to mitigate cost effectiveness (enzymes and two-stage) or maturing process (aerobic), biological pretreatments remain a promising field due to aforementioned inherent advantages. Future research and development in this field may permit to develop efficient, cost-competitive and environmentally friendly biological pretreatments.

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