

Paper1

by Unnamed Author

FILE	PAPER1.DOCX (42.67K)	WORD COUNT	3824
TIME SUBMITTED	06-JUL-2017 11:37AM	CHARACTER COUNT	23347
SUBMISSION ID	829259764		

1 **ABSTRACT**

2 **Objectives:** ⁶ Auditory brainstem implantation (ABI) can provide auditory stimulation in cases
3 where cochlear implantation is contraindicated. The purpose of this study is to formally and
4 informally assess ¹² the auditory, speech, and language development of Indian paediatric ABI
5 users at regular post-operative intervals.

6 **Design:** Between January 2009 and April 2012, 5 children (13-94 months old) received an
7 auditory brainstem implant. ² The children's auditory perception, speech intelligibility, and
8 receptive expressive ¹⁹ language development were assessed formally and informally at regular
9 intervals up to 36 months device experience. All children attended post-operative habilitation
10 sessions.

11 **Results:** All subjects' increased their auditory perception, speech intelligibility, and receptive
12 language scores over time, although none achieved maximum scores on any test. Only 3
13 subjects were assessed beyond the 12-month interval. Development stagnated after the
14 habilitation program ended. Informal assessment (AuSpLan) gave a more detailed and
15 nuanced pictures of subjects' development.

16 **Conclusion:** Auditory brainstem implantation allowed paediatric users access to auditory
17 stimuli and all subjects' development benefitted from the implantation. Informal assessment
18 provided a more nuanced and complete picture of development than formal tests alone, and
19 could be a valuable addition to test batteries. Clinics should consider providing equal
20 emphasis on auditory and auditory visual based training to improve speech perception and
21 success of communication; extending the post-operative habilitation support for longer
22 duration and/or; developing an effective home-training program to maximize benefit from an
23 auditory brainstem implant.

24

25 **Keywords:** Auditory Brainstem implant, AuSpLan,

26

27 **17** **Conflicts of Interest:**

28 **The authors report no conflicts of interest.**

29

30 Introduction

31 ²⁶ The auditory brainstem implant (ABI) was designed for people with hearing loss due to
32 severe inner ear malformations, complete cochlear ossification, or absence or non-functional
33 auditory nerve (or Neurofibromatosis Type II) ²⁵ who would not benefit from a cochlear
34 ²⁴ implant. The ABI bypasses the cochlea and auditory nerve and provides its users ³ with an
35 opportunity to detect and recognize auditory information through electrical stimulation of the
36 auditory neurons of the cochlear nucleus.

37 Although adult ABI recipients do not attain the same levels of audiological ability as ²⁷ cochlear
38 implant recipients (Schwartz et al. 2003, Sennaroglu et al. 2012), they are likely to benefit
39 from improved lip reading ability ¹⁵ (Lenarz et al., 2001; Schwartz et al., 2003; Behr et al.,
40 2006; Maini et al., 2009) and improved speech perception, although the latter varies from
41 limited ¹⁴ (Lenarz et al., 2001; Nevison et al., 2002; Schwartz et al., 2003) to more substantial
42 understanding (Jackson ¹³ et al., 2002, Skarzynski et al., 2000, 2003; Bahr et al., 2006; Grayeli
43 et al., 2008), especially in subjects without a tumour (Colletti V et al., 2005a, 2009). Some
44 ABI users have been able to use the telephone (Lenarz et al., 2001; Sanna et al., 2006)
45 although this is not an expected outcome.

46 The effects of ABI on children have been somewhat less broadly studied. Most published
47 research comes from a single centre (Colletti V, et al., 2002, 2005b; Colletti L, 2007; Colletti
48 L et al. 2008), who has shown that with an ABI (and presumably associated regular
49 habilitation), children, even those with additional needs, often achieve good to moderate
50 speech detection and occasionally open-set speech recognition. These finding have been
51 ² echoed by Choi et al. (2011), Sennaroglu et al. (2009), and Goffi-Gomez et al. (2012).

52 Paediatric ABI is gaining popularity in India. Due to its sheer size, India has a massive
53 number of potential ABI candidates, thus there is an important and growing need to
54 document and assess the speech perception and language development outcomes of children
55 who have received an ABI and the post-implantation habilitation they need to attain
56 maximum benefit.

57 **Methods and Materials**

58 *Subjects*

59 Inclusion criteria for subjects was as follows: to have been implanted with an ABI at the
60 Madras ENT Research Foundation clinic between January 2009 and April 2012, to have
61 received a MED-EL (Innsbruck, Austria) ABI, and to be willing and able to commit to the
62 habilitation program.

63 *Pre-operative Protocol*

64 High resolution computerized tomographic (HRCT) scans and magnetic resonance imaging
65 (MRI) of the temporal bone were used for pre-operative diagnosis. Subjects hearing status
66 was evaluated by both objective and subjective audiologic measurements (pure tone
67 audiometry, immitance, acoustic reflex, auditory brainstem response, otoacoustic emission
68 (OAE) and auditory steady state response).

69 *Surgical Approach and Post operative evaluations*

70 The auditory brainstem implant was placed in the brainstem, through the retromastoid
71 craniotomy approach. During discharge, post surgery, the subjects were conscious, oriented,
72 with no spino motor deficits and with hearing unchanged from the pre-operative status. The
73 activation of audio processors took place within 3 months post operatively, in an intensive

74 care unit with close and continuous monitoring of the subjects' vital functions. The mapping
75 audiologist provided maps with appropriate current levels that contributed to improved
76 speech perception but did not elicit non auditory responses. Follow up mapping sessions took
77 at the following post-operative intervals: 1 month, 3 months, 6 months, 1 year, 1.5 years, 2
78 years, and 3 years after initial ABI activation.

79 *Assessment: Tests*

80 The test battery for formal assessment consisted of the Categories of Auditory Performance
81 (CAP) (Archbold et al. 1995) (see Table 5), Speech Intelligibility Rating (SIR) (Allen et al.
82 1998) (see Table 6), and Receptive Expressive Emergent Language Scale (REELS) (Bzoch et
83 al. 1991) to assess subjects' auditory perception, speech production, and receptive and
84 expressive language skills.

85 For informal assessment, Auditory, Speech, and Language (AuSpLan) Pyramids
86 (McClatchie & Therres 2003) were used to assess subjects' auditory perception, speech
87 production, and expressive language skills. AuSpLan, acronym of Auditory, Speech and
88 Language, is a developmental curriculum for children with hearing impairment to learn to
89 listen and develop verbal language. It details hierarchies of skills in three domains –
90 Audition, Speech and Language – represented in the form of pyramids, which were used in
91 the present study for assessment purpose. AuSpLan helps professionals categorize pediatric
92 cochlear implant (CI) recipients into three groups (Commensurate, Capable and Challenged)
93 based on factors that influence outcomes with a CI. Further it provides timelines for specific
94 skill levels that each group of CI recipient can be expected to achieve.

95 *Assessment: Intervals*

12
96 The assessments took place pre-operatively and then at the following post-operative intervals:
97 9
1 month, 3 months, 6 months, 9 months, 1 year, 2 years, and 3 years after first-fitting. Results
98 were cross-verified with each subject's lesson plans, progress reports, and video analyses of
99 rehabilitation sessions during discussion meetings with the relevant rehabilitation
100 professional.

101 *Habilitation Program*

8
102 All subjects attended a habilitation program at MERF-Institute of Speech and Hearing (a Unit
103 of Madras ENT Research Foundation), Chennai. A developmental approach to
104 communication and language acquisition was opted for all the subjects. The habilitation
105 program consisted of twice a week sessions for the first 12 months of device experience and,
106 thereafter, a follow-up visit every 6 months. Every session included goals for audition,
107 language, cognition, speech and natural conversation during play. Summary of the session
108 and home training tips were provided to parents in end of the session. Undue emphasize on
109 both i) avoiding visual cues (lip cues) always by covering the speaker's mouth or talking
110 from behind the back of the subject and ii) providing visual cues (lip cues) during
111 conversation through exaggerated articulatory movements and very loud speech were
112 discouraged in the program

113 **Results**

114 *Subjects*

22
115 5 profoundly deaf children (mean age = 4y11m at implantation; 3 males and 2 females) met
116 the inclusion criteria and were included in the study. 4 had Michel's aplasia, 1 (subject 4) had
117 an absent auditory nerve. All subjects received a MED-EL (Innsbruck, Austria) PULSAR
118 ABI with an OPUS² audio processor. 3 subjects (#1, 2, and 3) completed their 3 years of

119 implant use whereas 2 subjects (#4 and 5) had their implants only for 12 months (see Table
120 1). Subjects 1 and 2 each had an active channel turned off between first and last fitting so as
121 to avoid possible non-auditory stimulation. Because subject 4 experienced facial muscle
122 twitching at higher current levels, the maximum comfortable loudness levels were kept lower
123 and 3 electrodes were turned off at first-fitting, and an additional electrode at last fitting. No
124 additional adverse events were observed.

125 Subjects' actual participation in the habilitation program varied according to the parents'
126 willingness to participate, their distance from the therapy centre, and economic status. The
127 subjects' results were not statistically analysed due to their small number and demographic
128 heterogeneity. Results were instead represented in graph form, as this allows a more
129 meaningful interpretation.

130 *Test results*

131 1. Auditory results: Categories of Auditory Perception (CAP) and AuSpLan

132 All subjects scored 0 pre-operatively, and 4 or 5 at the 9-month interval on the CAP test (See
133 Figure 1). After the 9-month interval only 1 subject (#1) improved his/her score. No subject
134 at any interval scored the test maximum (7 points). The AuSpLan Auditory Pyramid shows a
135 more detailed and nuanced picture of qualitative progress throughout the test period (see
136 Table 2).

137 2. Speech results: Speech Intelligibility Rating (SIR) and AuSpLan

138 All subjects' SIR scores improved over time. A definite pattern of improvement, however,
139 couldn't be observed (see Figure 2). When subjects' speech intelligibility development was
140 tracked with the AuSpLan pyramid, subjects showed a steady qualitative improvement over

141 time (see Table 3). Subject 5 had ‘word level intelligibility’ pre-operatively, while others
142 were at pre-speech level. Subject 5’s progress is shown in grey so that it does not confound
143 the trend exhibited by the other subjects.

144 3. Language skills results: Receptive Expressive Emergent Language Scales (REELS) and
145 AuSpLan

146 ABI use facilitated the development of all subjects’ receptive and expressive language (see
147 Figures 3 and 4). Not surprisingly, subjects tended to have higher receptive language scores
148 than expressive language scores at the same intervals.

149 4. Informal assessment: AuSpLan

150 Assessed informally with the AuSpLan – Expressive Language Pyramid, all subjects
151 developed their expressive language skills over time (see Table 4). As subject 5 had a higher
152 pre-operative language score than the others subjects, /her scores are in grey. The improving
153 trend seen in REELS score was also seen in the informal assessment.

154 **Discussion**

155 ABI is, by now, a standard treatment method for providing auditory stimulation to children
156 who cannot benefit from cochlear implants due to inner ear malformations or auditory nerve
157 damage. Expectations for auditory and speech development have increased from ABI being
158 an aid for lip-reading to now enabling some users ²¹ to develop open-set speech perception and
159 intelligible speech (Otto et al. 2002), although these results are not typical (Schwartz et al.
160 2008, Merkus et al. 2013). That the success of these results is predicated on 1) subjects’
161 regular attendance and participation in habilitation programs and 2) at home support and
162 training they receive from their parents/guardians (Schwartz et al. 2008) has perhaps been

163 underemphasized in the literature, and, as such, it is all the more important to emphasize that
164 for a child with prelingual hearing loss, learning how to first differentiate the apparent chaos
165 of speech from environmental noise and then transform it into intelligible linguistic input is a
166 skill that also needs to be practiced outside the clinic. Training parents to be encouraging and
167 interactive with their child is especially important.

168 On the formal tests (CAP, SIR), subjects tended to develop steadily up to the 9th or 12th
169 month interval, whereupon development slowed or stagnated. On the CAP, for example, none
170 of the 3 tested subjects increased their score after the 12th month interval and on the SIR test,
171 only 1 of the 3 tested subjects increased their score after the 12th month interval. Subject 2's
172 SIR score increased from a pre-operative '1' to a '3' at 12 months, and was still a '3' at the
173 36th month interval. And Subject 3's CAP and SIR scores didn't increase at all after the 9th
174 month interval, likely due to his/her receiving very poor home training and discontinuing
175 habilitation after only 5 months of device experience. Looking at the CAP and SIR results,
176 especially post-12th month interval, would seem to suggest that the subjects simply stopped
177 developing. This, however, is not entirely true. Initially, we found it difficult to believe that
178 subject #s 1, 2, and 5 reached scores of 5 after only 9-12 months device experience. However,
179 upon further investigation, we found that each of these subjects had either high preoperative
180 language skills (subject #5) or very supportive parents/mothers who regularly attended
181 habilitation sessions with them (subjects #1 and #2). Subject #2 in particular had, as the
182 clinicians who worked with her repeatedly reported, "wonderful parents". Though not
183 conclusive, supportive family environment appears to be a factor that positively influences
184 outcomes with ABI.

185 Subjects exhibited steady development when their auditory development and speech
186 production abilities were assessed (informally) with the AuSpLan (see Tables 2-3). The

187 reason for this is that the abilities necessary to ascend the AuSpLan’s hierarchy of skills are
188 easier than those in the CAP and SIR; for example, on the CAP, a score of ‘6’ (“Understands
189 conversation without lip-reading with a familiar talker”) or a ‘7’¹⁶ (Can use the telephone with
190 a familiar talker) are very challenging to a paediatric ABI user. The goal on the AuSpLan
191 auditory pyramid: “Comprehension of simple questions”, is more attainable. The AuSpLan
192 can document the children’s incremental developmental progress in more detail than the
193 formal tests; thus it could help hearing professionals show parents that progress is indeed
194 being made. For this reason, we believe the AuSpLan pyramids and similar informal tools
195 should be used in conjunction with the usual formal tests to evaluate developmental progress.

196 Regarding the subjects’ receptive language age, all subjects except Subject 3 showed steady
197 development over the course of the study, to the extent that their receptive language age was
198 equal to or exceeded their length of device use. As was mentioned earlier, Subject 3 had very
199 poor home training and discontinued habilitation sessions at 5 months, and which could be a
200 reason that his/her receptive language age did not increase after 6 months device experience.
201 Subject 5 enjoyed higher scores than the other subjects at every interval in which she was
202 tested because she 20-74 months older than the other subjects at time of implantation, and
203 thus more cognitively mature.

204 When evaluated by the REELS, the expressive language ages of all subjects, other than
205 Subject 5, increased for 0-3 months before implantation to at least 12-18 months at the 12th
206 month interval. Then, stagnation set in: only 1 subject (Subject 1) appeared to mature between
207 the 12th and 36th month intervals. In other words, up to the 12th month interval, all subjects’
208 expressive language age matched their length of device use; by the 36th month interval, 2 of
209 the 3 subjects tested were at least a year behind and 1 (Subject 2) was age equivalent. When

210 evaluated with the AuSpLan hierarchy, subjects did exhibit steady growth. By the 24th month
211 interval, all subjects were capable of “connected utterances at phrase level”.

212 All the subjects’ receptive and expressive skills benefited from receiving an ABI. Their active
213 qualitative progress was particularly evident in informal testing with the AuSpLan pyramids.
214 Reflections from informal assessments can be directly applied to plan future goals and help in
215 parent counselling.

216 Considering that pediatric ABI recipients benefit from auditory-visual (lip reading) approach
217 to communication and language learning there is a trend amongst professionals to focus
218 loosely on auditory skill development. The subjects of the study showed improvement in their
219 listening abilities with structured auditory training. It must be understood that a habilitation
220 program for these recipients should lay simultaneous emphasis on both i) bottom-up
221 (structured) auditory training for development of listening abilities, from simple to complex
222 levels and ii) top-down (connected speech) auditory-visual based training for improving
223 speech perception at conversational levels.

224 India is to some extent plagued by a lack of commitment to sustained habilitation: only
225 45.5% of the cochlear implant clinics in India counselled the parents of children with a CI to
226 commit to a rehabilitation program for ⁵ more than 1 year and only 58% of cochlear implants
227 users continued habilitation after 1 year (Jeyaraman 2012). The results of the study showed
228 that outcomes with an ABI tend to improve over years after implantation, indicating that ABI
229 recipients would derive greater benefit with long term support, extending beyond one year,
230 post implantation. Habilitation programs for pediatric ABI recipients should consider taking
231 steps to foster long-term parent-clinic contact and encourage regular visits, and training
232 parents to be supportive by giving them home training tips. Tele-therapy services and

233 creation of satellite habilitation units could also be considered. Future studies, with a larger
234 more homogenous subject population are needed to confirm the utility of informal assessment
235 like AuSpLan as an addition to formal testing.

236 **Conclusion**

237 Children with an ABI develop audition, speech, and language skills gradually. Formal test
238 outcomes in this study were encouraging but were restricted. Informal assessment allowed a
239 more detailed picture to emerge and thus can be useful for clinicians and parents. The
240 importance of attending a regular habilitation program and quality at-home verbal interaction
241 with parents for children's development should not be underestimated.

242 **Acknowledgments**

243 We would like to thank the pediatric ABI users and their families for their support and
244 cooperation.

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328

330 **Figure Legends**

331 Figure 1

332 Figure 1: ¹¹Categories of auditory perception (CAP) scores over time

333 Figure 2

334 Figure 2: ¹¹Speech intelligibility Rating (SIR) scores over time

335 Figure 3

336 Figure 3: Receptive Language Age (RLA) scores over time

337 Figure 4

338 Figure 4 : Expressive Language Age (ELA) scores over time

339 **Table Legends**

340 ²⁰
340 Table 1

341 Table 1: Demographic data of paediatric auditory brainstem implant (ABI) users

342 Table 2

343 Table 2: Timeline for development of auditory skills in paediatric ABI users using AuSpLan

344 – auditory pyramid. Horizontally shaded squares represent the time when all subjects had

345 achieved the hierarchy task.

346 Table 3

347 Table 3: Timelines for development of speech intelligibility in paediatric ABI users using

348 AuSpLan – speech intelligibility pyramid. Horizontal shaded squares represent the time when

349 all subjects had achieved the hierarchy task. Note: CVCV= consonant, vowel, consonant,

350 vowel.

351 Table 4

352 Table 4: Timelines for development of expressive language skills in paediatric ABI users.

353 Horizontal shaded squares represent when all subjects had achieved the hierarchy task.

354 Table 5

355 Table 5: Categories of Auditory Performance (CAP)

356 Table 6

357 Table 6: Speech Intelligibility Rating (SIR)

Paper1

ORIGINALITY REPORT

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